SUPREME COURT OF THE STATE OF NEW YORK
COUNTY OF NEW YORK: COMMERCIAL DIVISION

In re INFINITY Q DIVERSIFIED ALPHA FUND SECURITIES LITIGATION	Index No. 651295/2021 CLASS ACTION Part 53: Justice Andrew S. Borrok
This Document Relates To: The Consolidated Action	
DOMINUS MULTIMANAGER FUND, LTD., Individually and on Behalf of All Others Similarly Situated, Plaintiff, v. INFINITY Q CAPITAL MANAGEMENT LLC, et al., Defendants.	Index No. 652906/2022 CLASS ACTION Part 53: Justice Andrew S. Borrok

AFFIDAVIT OF ROSS D. MURRAY REGARDING NOTICE DISSEMINATION, PUBLICATION, AND REQUESTS FOR EXCLUSION RECEIVED TO DATE

I, ROSS D. MURRAY, being duly sworn, depose and say:

1. I am employed as a Vice President of Securities by Gilardi & Co. LLC ("Gilardi"), located at 1 McInnis Parkway, Suite 250, San Rafael, California. The following statements are based on my personal knowledge and information provided to me by other Gilardi employees and if called to testify I could and would do so competently.

2. Pursuant to this Court's October 17, 2022 Order Preliminarily Approving Settlement and Providing Notice ("Notice Order"), Gilardi was appointed as the Claims Administrator in connection with the proposed Settlement of the above-captioned actions (the "Litigation").[1] I oversaw the notice services that Gilardi provided in accordance with the Notice Order.

3. I submit this affidavit in order to provide the Court and the parties to the Litigation with information regarding: (i) mailing of the Court-approved Notice of Pendency and Proposed Settlement of Class Action (the "Notice") and Proof of Claim and Release form (the "Proof of Claim") (collectively, the "Claim Package," attached hereto as Exhibit A); (ii) publication of the Summary Notice of Proposed Settlement of Class Action (the "Summary Notice"); (iii) establishment of the website and toll-free telephone number dedicated to this Settlement; and (iv) the number of requests for exclusion from the Class received to date by Gilardi.

DISSEMINATION OF THE CLAIM PACKAGE

4. Pursuant to the Notice Order, Gilardi is responsible for disseminating the Claim Package to potential Class Members. The Class consists of all Persons or entities that either: (i) purchased or otherwise acquired Investor Shares in the Diversified Fund (Ticker symbol IQDAX) and/or Institutional Shares in the Diversified Fund (Ticker symbol IQDNX) (collectively, "Diversified Fund Shares") between February 22, 2016 and February 22, 2021, both dates inclusive (the "Class Period"); and/or (ii) invested through the Volatility Fund during the Class

[1] Any capitalized terms used that are not otherwise defined herein shall have the meanings ascribed to them in the Amended Stipulation of Settlement dated September 7, 2022 (the "Stipulation"), which is available on the website established for the Settlement at www.InfinityQSecuritiesSettlement.com.

Period. Excluded from the Class are: (i) Defendants in the Litigation; (ii) all officers, trustees, and directors of those Defendants; (iii) members of any individual Defendant's immediate families; (iv) any Defendant's legal representatives, heirs, successors, or assigns; and (v) any entity in which any of the foregoing excluded Persons has or had a controlling majority ownership interest. Also excluded from the Class is any Person who would otherwise be a Member of the Class, but who validly and timely requests exclusion in accordance with the requirements set by the Court.

5. Gilardi received a file via email from Infinity Q's transfer agent, which contained the names and addresses of potential Class Members. The list was reviewed to identify and eliminate duplicate entries and incomplete data, resulting in a usable mailing list of 22 unique names and addresses. Gilardi had the unique name and address data printed on to Claim Packages, posted the Claim Packages for First-Class Mail, postage prepaid, and delivered 22 Claim Packages on November 7, 2022, to the United States Post Office for mailing.

6. In addition, on November 7, 2022, as part of its normal mailing procedures, Gilardi mailed, by First-Class Mail, Claim Packages and cover letters to 279 brokerages, custodial banks, and other institutions ("Nominee Holders") that hold securities in "street name" as nominees for the benefit of their customers who are the beneficial owners of the securities. The Nominee Holders also include a group of filers/institutions who have requested notification of every securities case. These Nominee Holders are included in a proprietary database created and maintained by Gilardi. In Gilardi's experience, the Nominee Holders included in this proprietary database represent a significant majority of the beneficial holders of securities. The cover letter accompanying the Claim Packages advised the Nominee Holders of the proposed Settlement and requested their cooperation in forwarding the Claim Packages to potential Class Members. In the more than three decades that Gilardi has been providing notice and claims administration services in securities class actions, Gilardi has found the majority of potential class members hold their securities in street name and are notified through the Nominee Holders. Gilardi also mailed Claim Packages and cover letters to the 4,441 institutions included on the U.S. Securities and Exchange Commission's ("SEC") list of active brokers and dealers at the time of mailing. A sample of the

cover letter mailed to Nominee Holders and the institutions included on the SEC's list of active brokers and dealers is attached hereto as Exhibit B.

7. On November 7, 2022, Gilardi also delivered electronic copies of the Claim Package to 373 registered electronic filers who are qualified to submit electronic claims. These filers are primarily institutions and third-party filers who typically file numerous claims on behalf of beneficial owners for whom they act as trustees or fiduciaries.

8. As part of the notice program for this Settlement, on November 7, 2022, Gilardi also delivered electronic copies of the Claim Package via email to be published by the Depository Trust Company ("DTC") on the DTC Legal Notice System ("LENS"). LENS enables the participating bank and broker nominees to review the Claim Package and contact Gilardi for copies of the Claim Package for their beneficial holders.

9. Gilardi has acted as a repository for shareholder and nominee inquiries and communications received in this Settlement. In this regard, Gilardi has forwarded the Claim Package on request to nominees who held eligible shares for the beneficial interest of other persons. Gilardi has also forwarded the Claim Package directly to beneficial owners upon receipt of the names and addresses from such beneficial owners or nominees.

10. Following the initial mailing, Gilardi received seven responses to the outreach efforts described above, which included computer files containing a total of 20,117 names and addresses of potential Class Members. In addition, eight institutions requested that Gilardi send them a total of 21,475 Claim Packages for forwarding directly to their clients. Gilardi also received one response that included a mailing label with the name and address of one additional potential Class Member. Each of these requests has been completed in a timely manner.

11. As of December 22, 2022, Gilardi has mailed a total of 46,708 Claim Packages to potential Class Members and nominees.

PUBLICATION OF THE SUMMARY NOTICE

12. In accordance with the Notice Order, on November 17, 2022, Gilardi caused the Summary Notice to be published in *The Wall Street Journal* and to be transmitted over *Business Wire*, as shown in the confirmations of publication attached hereto as Exhibit C.

TELEPHONE HELPLINE AND WEBSITE

13. On November 7, 2022, Gilardi established and continues to maintain a case-specific, toll-free telephone helpline, 1-888-710-2843, to accommodate potential Class Member inquiries. The toll-free number was set forth in the Claim Package and on the case website. Gilardi has been and will continue to promptly respond to all inquiries to the toll-free telephone helpline.

14. On November 7, 2022, Gilardi established and continues to maintain a website dedicated to this Settlement (www.InfinityQSecuritiesSettlement.com) to provide additional information to Class Members and to provide answers to frequently asked questions. The web address was set forth in the Claim Package and the Summary Notice. The website includes information regarding the Litigation and the Settlement, including the objection and claim filing deadlines, and the date, time, and location of the Court's Settlement Hearing. Copies of the Notice, Proof of Claim, Stipulation, and Notice Order are posted on the website and are available for downloading. Class Members can also complete and submit a Proof of Claim through the website.

REQUESTS FOR EXCLUSION RECEIVED TO DATE

15. The Notice informs potential Class Members that written requests for exclusion from the Class must be mailed to *Infinity Q Securities Settlement*, c/o Gilardi & Co. LLC, EXCLUSIONS, P.O. Box 5100, Larkspur, CA 94977-5100, such that they are postmarked no later than January 10, 2023.

16. The Notice also sets forth the information that must be included in each request for exclusion. Gilardi has monitored and will continue to monitor all mail delivered to this address. As of the date of this affidavit, Gilardi has not received any requests for exclusion.

I state under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 22nd day of December, 2022, at San Rafael, California.

ROSS D. MURRAY

Subscribed and sworn to before
me this 22nd day of December, 2022

Notary Public

SEE ATTACHED
CERTIFICATE

California Jurat Certificate

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Marin } s.s.

Subscribed and sworn to (or affirmed) before me on this 22nd day of Dec. (Month),

20 22, by Ross D. Murray (Name of Signer (1)) and

_____ (Name of Signer (2)), proved to me on the basis of

satisfactory evidence to be the person(s) who appeared before me.

Signature of Notary Public

For other required information (Notary Name, Commission No. etc.)

ANNA RUTH HEMMERICH
Notary Public - California
Marin County
Commission # 2369974
My Comm. Expires Aug 6, 2025

Seal

OPTIONAL INFORMATION

Although the information in this section is not required by law, it could prevent fraudulent removal and reattachment of this jurat to an unauthorized document and may prove useful to persons relying on the attached document.

Description of Attached Document

The certificate is attached to a document titled/for the purpose of

containing _____ pages, and dated _____

Additional Information

Method of Affiant Identification

Proved to me on the basis of satisfactory evidence:
○ form(s) of identification ○ credible witness(es)

Notarial event is detailed in notary journal on:

Page # _____ Entry # _____

Notary contact: _____

Other

☐ Affiant(s) Thumbprint(s) ☐ Describe: _____

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PRINTING SPECIFICATIONS STATEMENT

1. Pursuant to 22 N.Y.C.R.R. §202.70(g), Rule 17, the undersigned counsel certifies that the foregoing affidavit was prepared on a computer using Microsoft Word. A proportionally spaced typeface was used as follows:

Name of Typeface:	Times New Roman
Point Size:	12
Line Spacing:	Double

2. The total number of words in the affidavit, inclusive of point headings and footnotes and exclusive of the caption, signature block, and this Certification, is 1,396 words.

DATED: December 27, 2022

ROBBINS GELLER RUDMAN
& DOWD LLP
BRIAN E. COCHRAN

s/ Brian E. Cochran
BRIAN E. COCHRAN

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Counsel for Plaintiff Schiavi + Company LLC DBA Schiavi + Dattani, Plaintiff Dominus Multimanager Fund, Ltd. and Federal Lead Counsel

EXHIBIT A

SUPREME COURT OF THE STATE OF NEW YORK
COUNTY OF NEW YORK: COMMERCIAL DIVISION

In re INFINITY Q DIVERSIFIED ALPHA FUND SECURITIES LITIGATION	Index No. 651295/2021 CLASS ACTION Part 53: Justice Andrew S. Borrok
This Document Relates To: The Consolidated Action	
DOMINUS MULTIMANAGER FUND, LTD., Individually and on Behalf of All Others Similarly Situated, Plaintiff, v. INFINITY Q CAPITAL MANAGEMENT LLC, et al., Defendants.	Index No. 652906/2022 CLASS ACTION Part 53: Justice Andrew S. Borrok

NOTICE OF PENDENCY AND PROPOSED SETTLEMENT OF CLASS ACTION

TO: ALL PERSONS OR ENTITIES THAT EITHER: (I) PURCHASED OR OTHERWISE ACQUIRED INVESTOR SHARES (TICKER SYMBOL IQDAX) AND/OR INSTITUTIONAL SHARES (TICKER SYMBOL IQDNX) IN INFINITY Q DIVERSIFIED ALPHA FUND (THE "DIVERSIFIED FUND") BETWEEN FEBRUARY 22, 2016 AND FEBRUARY 22, 2021, BOTH DATES INCLUSIVE (THE "CLASS PERIOD"); AND/OR (II) INVESTED THROUGH EITHER THE INFINITY Q VOLATILITY ALPHA FUND, L.P., OR THE INFINITY Q VOLATILITY ALPHA OFFSHORE FUND, LTD. (COLLECTIVELY, THE "VOLATILITY FUND") DURING THE CLASS PERIOD

IF YOU ARE A CLASS MEMBER, YOU MAY BE ENTITLED TO SHARE IN THE PROCEEDS OF THE PROPOSED SETTLEMENT OF THIS CASE DESCRIBED IN THIS NOTICE. IN ORDER TO QUALIFY FOR A SETTLEMENT PAYMENT, YOU MUST TIMELY SUBMIT A PROOF OF CLAIM AND RELEASE FORM ("PROOF OF CLAIM") BY FEBRUARY 6, 2023.

THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.

WHY SHOULD I READ THIS NOTICE?

The proposed settlement will result in the creation of a cash settlement fund in the principal amount of up to $48,000,000, plus any interest that may accrue thereon (the "Settlement Fund").

This Notice is given pursuant to an order issued by the Supreme Court of the State of New York, County of New York: Commercial Division (the "Court"). This Notice serves to inform you of the certification of the Class for purposes of settlement only and the proposed settlement (the "Settlement") of the above-captioned class action lawsuits, *In re Infinity Q Diversified Alpha Fund Securities Litigation*, Index No. 651295/2021 and *Dominus Multimanager Fund, Ltd. v. Infinity Q Capital Management LLC, et al.*, Index No. 652906/2022 (together, the "State Action"), pending in the Court, along with *In re Infinity Q Diversified Alpha Fund and Infinity Q Volatility Alpha Fund Securities Litigation* (formerly known as *Yang v. Trust for Advised Portfolios, et al.*), Case No. 1:21-cv-01047-FB-MMH (E.D.N.Y.), pending in the United States District Court for the Eastern District of New York (the "Federal Action") (the State Action and Federal Action are together referred to as the "Litigation") and the hearing (the "Settlement Hearing") to be held by the Court to consider the fairness, reasonableness, and adequacy of the Settlement, as set forth in the Amended Stipulation of Settlement, dated September 7, 2022 (the "Stipulation"), by and between lead plaintiffs Andrea Hunter, David Rosenstein, and Neil O'Connor ("State Plaintiffs"); Lead Plaintiff Schiavi + Company LLC DBA Schiavi + Dattani ("Schiavi and Dattani") and Plaintiff Dominus Multimanager Fund, Ltd. ("Federal Plaintiffs" and together with the State Plaintiffs, the "Lead Plaintiffs"); and defendants The Trust for Advised Portfolios ("TAP" or the "Trust"), including the Diversified Fund; Christopher E. Kashmerick, John C. Chrystal, Albert J. DiUlio, S.J., Harry E. Resis, Russell B. Simon, and Steven J. Jensen (together, the "TAP Individual Defendants"); Quasar Distributors, LLC ("Quasar"), EisnerAmper LLC ("EisnerAmper"), and U.S. Bancorp Fund Services LLC ("U.S. Bancorp"), Infinity Q Capital Management LLC ("IQCM"); Infinity Q Management Equity, LLC ("IQME"); James Velissaris ("Velissaris"); Scott Lindell ("Lindell"); Leonard Potter ("Potter"); Bonderman Family Limited Partnership, LP ("BFLP") (collectively, IQCM, IQME, Velissaris, Lindell, Potter, and BFLP are the "IQCM Parties") (TAP, TAP Individual Defendants, Quasar, U.S. Bancorp, EisnerAmper, and the IQCM Parties, are, collectively, the "Settling Defendants"), by and through their respective counsel. In this Litigation, Lead Plaintiffs also assert claims against Infinity Q Volatility Alpha Offshore Fund, Ltd. ("Volatility Feeder Fund") and Infinity Q Volatility Alpha Fund, L.P. ("Volatility Master Fund," and together with the Volatility Feeder Fund, the "Volatility Fund"), which are being released by this Settlement (the Settling Defendants and the Volatility Fund are, together, the "Defendants").

This Notice is intended to inform you how the Litigation and proposed Settlement may affect your rights and what steps you may take in relation to it. This Notice is NOT an expression of any opinion by the Court as to the merits of the claims or defenses asserted in the Litigation or whether the Defendants engaged in any wrongdoing.

WHAT IS THIS LAWSUIT ABOUT?

I. THE ALLEGATIONS

Lead Plaintiffs claim that Defendants violated one or more of common law claims, §§11, 12(a)(2), and/or 15 of the Securities Act of 1933 (the "Securities Act") and/or §§10(b) and 20(a) of the Securities Exchange Act of 1934 (the "Exchange Act"), as well as U.S. Securities and Exchange Commission ("SEC") Rule 10b-5 promulgated thereunder, by reason of materially false and misleading statements made by certain Defendants during the Class Period concerning the Diversified Fund and the Volatility Fund (together, the "Funds") and in the Registration Statements and Prospectuses for the Diversified Fund. Specifically, Lead Plaintiffs allege that certain Defendants made materially false and misleading statements about, and failed to disclose material information regarding, the way that the Funds and their investment advisors valued certain assets of the Funds, including swap contracts. Lead Plaintiffs also allege that certain Defendants failed to adhere to stated valuation procedures and tampered, or failed to prevent tampering, with third-party pricing models, resulting in valuations that were significantly overstated.

Settling Defendants deny all of Lead Plaintiffs' allegations and any other wrongdoing. Without limiting the generality of the foregoing in any way, Settling Defendants have denied, and continue to deny, among other things, that any misstatements or materially misleading omissions were made or that Lead Plaintiffs or the Class[1] have suffered any damages, and/or suffered any damages caused by Defendants. Settling Defendants do not admit any liability or wrongdoing in connection with the allegations set forth in the Litigation or any facts related thereto. The Settlement shall in no event be construed as, or deemed to be evidence of, liability, fault, wrongdoing, injury or damages, or of any wrongful conduct, acts, or omissions on the part of any of the Defendants, or of any infirmity of any defense, or of any damages to Lead Plaintiffs or any other Class Member.

[1] All undefined capitalized terms in this Notice have the meanings as defined in the Stipulation.

THE COURT HAS NOT RULED AS TO WHETHER DEFENDANTS ARE LIABLE TO LEAD PLAINTIFFS OR THE CLASS. THIS NOTICE IS NOT INTENDED TO BE AN EXPRESSION OF ANY OPINION BY THE COURT WITH RESPECT TO THE TRUTH OF THE ALLEGATIONS IN THE LITIGATION OR THE MERITS OF THE CLAIMS OR DEFENSES ASSERTED. THIS NOTICE IS SOLELY TO ADVISE YOU OF THE PROPOSED SETTLEMENT OF THE LITIGATION AND YOUR RIGHTS IN CONNECTION WITH THAT SETTLEMENT.

II. PROCEDURAL HISTORY

Initial complaints in the State Action were filed on February 24, 2021 and February 25, 2021. On April 15, 2021, the Court entered an order consolidating the two actions in the State Action, and appointing the law firms of Scott+Scott Attorneys at Law ("Scott+Scott") and The Rosen Law Firm, P.A. ("Rosen") as Co-Lead Counsel in the State Action.

On April 16, 2021, State Plaintiffs filed a Consolidated Complaint. Between June 1, 2021 and June 30, 2021, pursuant to the agreed-upon schedule, all defendants filed motions to dismiss the Consolidated Complaint. Plaintiffs opposed the motions to dismiss on July 30, 2021. Defendants filed reply motions in support of the motions to dismiss on September 14, 2021. The Court scheduled a hearing on the then-pending motions to dismiss on April 4, 2022. On March 25, 2022, the parties in the State Action wrote to the Court to provide an update on the settlement negotiations. In addition to informing the Court of the ongoing mediation, the parties informed the Court that State Plaintiffs intended to file a Consolidated Amended Complaint, which would moot the then-pending motions to dismiss. Accordingly, the parties requested that the Court adjourn the April 4, 2022 hearing to conserve judicial resources. The Court adjourned the hearing, and State Plaintiffs filed their Consolidated Amended Complaint on May 2, 2022. On August 15, 2022, the State Plaintiffs filed a motion for leave to file a second Consolidated Amended Complaint, which includes Federal Lead Plaintiff Schiavi and Dattani as a class representative for investors in the Diversified Fund.

On August 12, 2022, Plaintiff Dominus filed a complaint in the Supreme Court of the State of New York asserting common law claims on behalf of investors in the Volatility Fund, which was subsequently designated as related to the State Action. *Dominus Multimanager Fund, Ltd. v. Infinity Q Capital Management LLC, et al.*, Index No. 652906/2022.

The initial complaint in the Federal Action was filed on February 26, 2021. On February 17, 2022, the Federal Plaintiffs filed an additional putative class action complaint in federal court asserting claims on behalf of investors in both the Diversified Fund and the Volatility Fund, the allegations of which are factually related to the complaints in the State Action and the Federal Action. *Schiavi + Company LLC DBA Schiavi + Dattani, et al. v. Trust for Advised Portfolios, et al.*, Case No. 1:22-cv-00896 (E.D.N.Y.) ("*Schiavi*"). On March 31, 2022, the federal court entered an Order appointing Schiavi and Dattani as lead plaintiff in the Federal Action, and appointing Robbins Geller Rudman & Dowd LLP ("Robbins Geller") and Boies Schiller Flexner LLP ("Boise Schiller") as lead counsel in the Federal Action. On April 8, 2022, the *Schiavi* action was consolidated with the Federal Action.

On June 6, 2022, the Federal Plaintiffs filed a Consolidated Complaint. On August 5, 2022, the Court stayed all pending deadlines as to certain Defendants. Also on August 5, 2022, certain other Defendants, which as of that date had not yet reached a settlement in the Actions, filed a pre-motion letter regarding their anticipated motion to dismiss the Consolidated Complaint, to which the Federal Plaintiffs responded on August 12, 2022. Through Co-Lead Counsel, the Federal Plaintiffs participated in the mediation sessions described above, and joined the Stipulation partially resolving the Litigation.

On August 17, 2022, Lead Plaintiffs and certain of the Defendants executed a stipulation of settlement, which was subsequently superseded on September 7, 2022, by the Stipulation which seeks a global resolution of the Litigation against all Defendants.

On June 8, 2021, plaintiff Oak Financial Group, Inc. filed a factually-related complaint asserting claims for alleged violations of the federal securities laws and common law fraud against TAP, the Diversified Fund, the TAP Individual Defendants, Quasar, EisnerAmper, IQCM, IQME, Velissaris, Potter, Lindell, and BFLP in the United States District Court for the Eastern District of New York. *Oak Financial Group, Inc. v. Infinity Q Diversified Alpha Fund, et al.*, Case No. 1:21-cv-03249-FB-MMH (E.D.N.Y.) (the "Oak Financial Action"). The Oak Financial Action is not a putative class action and does not assert claims on behalf of any other parties except the named plaintiffs in that action.

On February 9, 2022, plaintiff Charles Sherck filed a putative class action complaint, the allegations of which are factually related to the complaints in the State Action and the Federal Action, asserting claims against U.S. Bancorp and no other defendants in Milwaukee County Circuit Court, State of Wisconsin. *Sherck v. U.S. Bancorp Fund Services, LLC*, Case No. 2022CV000846 (Wis. Cir. Ct.) (the "Milwaukee Class Action").

With the motions to dismiss pending in the State Action, the parties in both the State Action and the Federal Action agreed to participate in mediation. On December 17, 2021, the parties attended a virtual mediation session with the highly experienced mediator, Robert A. Meyer, Esq. of JAMS. At the end of the full-day session, the parties did not agree to settle the Litigation, however, substantial progress was made. The parties attended another full-day session with Mr. Meyer on January 17, 2022. The parties made further progress at this mediation session, but did not reach settlement.

During the following months, the parties continued to negotiate and work with Mr. Meyer towards a settlement, and have agreed to settle the Litigation on the terms set forth in the Stipulation, subject to the Court's approval. The Stipulation (together with the exhibits thereto) reflects the final and binding agreement between the Settling Parties. When the Stipulation becomes effective, both the State and Federal Actions will be dismissed with prejudice as against the Defendants.

HOW DO I KNOW IF I AM A CLASS MEMBER?

You may be a "Class Member" if you (i) purchased or acquired investor shares (Ticker Symbol IQDAX) and/or institutional shares (Ticker Symbol IQDNX) in Infinity Q Diversified Alpha Fund between February 22, 2016 and February 22, 2021, both dates inclusive (the "Class Period," also defined above), and/or (ii) invested through either the Infinity Q Volatility Alpha Fund, L.P. or the Infinity Q Volatility Alpha Offshore Fund, Ltd. during the Class Period, unless you are excluded from the Class by the terms of the Stipulation. As set forth in the Stipulation, excluded from the Class are: (i) defendants in the Litigation ("Defendants"); (ii) all officers, trustees, and directors of those Defendants; (iii) members of any individual Defendant's immediate families; (iv) any Defendant's legal representatives, heirs, successors, or assigns; and (v) any entity in which any of the foregoing excluded persons has or had a controlling majority ownership interest. Also excluded from the Class is any Person who would otherwise be a Member of the Class, but who validly and timely requests exclusion in accordance with the requirements set by the Court.

PLEASE NOTE: Receipt of this Notice does not mean that you are a Class Member or that you will be entitled to receive a payment from the Settlement. If you are a Class Member and you wish to be eligible to participate in the distribution of proceeds from the Settlement, you are required to submit the Proof of Claim that is being distributed with this Notice and the required supporting documentation, as set forth therein, postmarked or submitted online on or before February 6, 2023.

WHAT IS THE MONETARY VALUE OF THE PROPOSED SETTLEMENT?

The Settlement, if approved, will result in the creation of a cash settlement fund of up to $48,000,000 (the "Settlement Fund"). The Settlement Fund, plus accrued interest and minus the costs of this Notice and all costs associated with the administration of the Settlement Fund, as well as attorneys' fees and expenses, and the award to Lead Plaintiffs for representing the Class, as approved by the Court (the "Net Settlement Fund"), will be distributed to eligible Class Members pursuant to the Plan of Allocation that is described in the next section of this Notice.

In the event that the Settlement is approved, EisnerAmper will contribute $16,750,000 to the Settlement Fund with no further contingency and up to an additional $5,250,000 to the Settlement Fund, which additional payment shall be reduced dollar-for-dollar, up to $5,250,000, for any amounts paid by or on behalf of EisnerAmper to resolve claims, if any, asserted against EisnerAmper by or on behalf of the Diversified Fund, the Diversified Fund's Special Litigation Committee ("SLC") or the Volatility Fund. In addition, if approved, upon the Effective Date of the Settlement, Class Members shall be deemed to have assigned to EisnerAmper any and all rights they have with respect to their respective Released Claims against EisnerAmper, including any right to receive (directly or indirectly) any recoveries obtained in any litigation asserting these claims by or on behalf of TAP, the Diversified Fund, and/or the Diversified Fund's SLC. This assignment will not impair the rights of TAP, the Diversified Fund, and/or the Diversified Fund's SLC to assert claims that each may possess against the EisnerAmper Releasees independent of the Released Claims of Class Members.

Similarly, in the event the Settlement is approved, the IQCM Parties will contribute $15,650,000 to the Settlement Fund and assign their rights up to $3,000,000 of additional insurance proceeds that IQCM, IQME, Velissaris and/or Lindell may have under insurance policies that are subject to the litigation pending in the Superior Court of the State of Delaware titled *Infinity Q Capital Management, LLC, et al. v. Travelers Casualty and Surety Co., et al.*, C.A. No. N21C-07-158 EMD CCLD, which shall be the first $3,000,000 in proceeds received by any of the IQCM Parties under such insurance policies. In addition, upon the Effective Date of the Settlement, Class Members shall be deemed to have assigned to each of the IQCM Parties any and all rights they have with respect to their respective Released Claims against each of the IQCM Parties, including any right to receive (directly or indirectly) any recoveries obtained from any of the IQCM Parties' Releasees in the event that the Released Claims of Lead Plaintiffs and Class Members as against the IQCM Parties' Releasees are asserted against any of the IQCM Parties' Releasees in any litigation by or on behalf of TAP, the Diversified Fund, and/or the Diversified Fund's SLC. Nothing in the foregoing or in this Agreement shall impair the rights of TAP, the Diversified Fund, and/or the Diversified Fund's SLC to assert claims that each may possess against the IQCM Parties independent of the Released Claims of Lead Plaintiffs and Class Members.

Further, if approved, upon the Effective Date of the Settlement, Class Members shall be deemed to have assigned to each Settling Defendant any and all rights they may have to receive (directly or indirectly) any recoveries from each such Settling Defendant in connection with any of the Released Claims, or claims arising from substantially the same facts as any of the Released Claims, possessed by the Volatility Fund asserted in any derivative or other litigation by or on behalf of the Volatility Fund, except for recoveries, if any, of the advancement of legal fees and expenses due to the Volatility Fund under the terms of undertakings made by persons entitled to advancement of such expenses under the terms of the Volatility Fund's limited partnership agreement and other governing documents.

Upon the Effective Date, Class Members, and each and every Released Plaintiff Party shall be deemed to have covenanted not to sue any of the Released Defendant Parties, whether directly, indirectly, or derivatively, with respect to the Volatility Fund. Nothing described in this paragraph or in the Settlement shall impair the rights of the Volatility Fund to directly assert claims that it may possess against the Settling Defendants independent of the Released Claims of Class Members.

For the avoidance of doubt, Class Members shall not be deemed to have released or assigned in this Settlement: (i) any rights to proceeds of claims pursued against any Settling Defendant by any governmental or regulatory enforcement authority, including, but not limited to, the U.S. Attorney's Office for the Southern District of New York, the Securities and Exchange Commission, and/or the Commodity Futures Trading Commission; (ii) any rights to receive distributions, or claims or potential claims arising therefrom, from the Diversified Fund other than recoveries from the EisnerAmper Releasees or any of the IQCM Parties on account of Released Claims that Class Members assigned pursuant to Paragraphs 4.4 or 4.5 of the Stipulation; (iii) any rights to receive distributions, or claims or potential claims arising therefrom, from the Volatility Fund other than recoveries from any Settling Defendant that Class Members have assigned pursuant to Paragraph 4.6 of the Stipulation; (iv) claims asserted derivatively on behalf of TAP or the Diversified Fund or asserted by TAP or the Diversified Fund in its or their own right; (v) claims asserted on behalf of the Diversified Fund in *Rowan v. Infinity Q Capital Management LLC, et al.*, C.A. No. 2022-0176-MTZ (Del. Ch.); or (vi) claims to enforce the Settlement.

WHAT IS THE PROPOSED PLAN OF ALLOCATION?

The objective of the Plan of Allocation is to distribute the Net Settlement Fund equitably among Class Members based on their respective alleged economic losses resulting from the securities law violations alleged in the Litigation.

The Claims Administrator shall determine each Class Member's share of the Net Settlement Fund based on the recognized loss formula (the "Recognized Claim") described below. A Recognized Claim will be calculated for each eligible share purchased or otherwise acquired during the Class Period. The calculation of a Recognized Claim will depend upon several factors, including when the shares were purchased or otherwise acquired and in what amounts, whether the shares were ever sold, and, if so, when they were sold and for what amounts. The Recognized Claim is not intended to estimate the amount a Class Member might have been able to recover after a trial, nor to estimate the amount that will be paid to Class Members pursuant to the Settlement. The Recognized Claim is also not intended to estimate the amount a Class Member has received or may receive in the Funds' prior and future distributions of the net assets of the Funds. The Funds' distributions to current shareholders are separate from this Settlement. The Recognized Claim is the basis upon which the Net Settlement Fund will be proportionately allocated to Class Members.

Your share of the Net Settlement Fund will depend on the number of valid Proofs of Claim that other Class Members send in, how many eligible shares you purchased or otherwise acquired during the Class Period, whether you sold any of those shares, and when you sold them.

The calculation of claims below is not an estimate of the amount you will receive. It is a formula for allocating the Net Settlement Fund among all Authorized Claimants. Furthermore, if any of the formulas set forth below yield an amount less than $0.00, the claim per share is $0.00.

1. PROPOSED PLAN OF ALLOCATION OF NET SETTLEMENT FUND AMONG CLASS MEMBERS

1.1. How will my claim be calculated?

As discussed above, the Settlement provides up to $48,000,000 in cash for the benefit of the Class. The Settlement Amount and any interest it earns constitute the "Settlement Fund." The Settlement Fund, after deduction of Court-approved attorneys' fees and expenses, Notice and Administration Expenses, Taxes, and any other fees or expenses approved by the Court, is the "Net Settlement Fund." If the Settlement is approved by the Court, the Net Settlement Fund will be distributed to eligible Authorized Claimants-*i.e.*, members of the Class who timely submit valid Proofs of Claim that are accepted for payment by the Court-in accordance with this proposed Plan of Allocation ("Plan of Allocation" or "Plan") or such other plan of allocation as the Court may approve. Class Members who do not timely submit valid Proofs of Claim will not share in the Net Settlement Fund, but will otherwise be bound by the Settlement. The Court may approve this proposed Plan of Allocation, or modify it, without additional notice to the Class. Any order modifying the Plan of Allocation will be posted on the settlement website, www.InfinityQSecuritiesSettlement.com.

The Plan of Allocation is intended to compensate Class Members who purchased or otherwise acquired shares in the Diversified Fund and/or invested in the Volatility Fund during the Class Period and were damaged thereby for their claims arising under the Securities Act, the Exchange Act and/or the common law.

The objective of the Plan of Allocation is to distribute the Settlement proceeds equitably among those Class Members who suffered economic losses as a proximate result of the alleged wrongdoing. The computations under the Plan of Allocation are a method to weigh, in a fair and equitable manner, the claims of Authorized Claimants against one another for the purpose of making *pro rata* allocations of the Net Settlement Fund. The Plan of Allocation is not a formal damage analysis, and the calculations made in accordance with the Plan of Allocation are not intended to be estimates of, or indicative of, the amounts that Class Members might have been able to recover after a trial. Nor are the calculations in accordance with the Plan of Allocation intended to be estimates of the amounts that will be paid to Authorized Claimants under the Settlement.

Lead Plaintiffs allege that certain Defendants made materially false and misleading statements about, and failed to disclose material information regarding, the way that the Funds and their investment advisors valued certain assets of the Funds, including swap contracts. Lead Plaintiffs also allege that the Funds failed to adhere to stated valuation procedures and tampered, or failed to prevent tampering, with third-party pricing models, resulting in valuations that were significantly overstated. Ultimately, redemptions in the Funds were halted on or about February 19, 2021, and the Funds were liquidated. Available assets in the Funds following liquidation were substantially below the Funds' last reported net asset values ("NAVs"). Estimated damages and the Plan were developed based on the estimated magnitude of artificial inflation for the Funds' NAVs over time as calculated by reference to information provided by the Defendants in connection with the Settlement.[2] Specifically, the amount by which the Funds' Shares were inflated during each inflationary period during the Class Period was measured as the amount that reported NAV is estimated to have been overstated relative to the recalculated NAV. The amounts of the inflated NAVs represent losses, as measured by the extent of overpayment, suffered by investors in connection with their investment in the Funds' Shares.

2. CALCULATION OF RECOGNIZED LOSS AMOUNTS

For each Class Period purchase of the Funds' Shares that is properly documented, a "Recognized Loss Amount" will be calculated for that share according to the formulas described below. Such "Recognized Loss Amounts" will be aggregated across all purchases to determine the "Recognized Claim" for each Class Member. To the extent that the calculation of a Claimant's Recognized Claim Amount calculates to a negative number or zero under the formulas below, that number will be zero.

2.1. Recognized Loss Amounts: Diversified Funds

The calculation of a Recognized Loss Amount will depend upon when the Diversified Fund Share was purchased or otherwise acquired, and in what amount.

i. For each share of the Diversified Fund purchased during the Class Period and redeemed prior to the close of trading on February 18, 2021, the Recognized Loss Amount is zero.

ii. For each share of the Diversified Fund purchased during the Class Period and held as of the close of trading on February 18, 2021, the Recognized Loss Amount is equal to the amount of inflation in the price at time of purchase (as indicated in Table 1 below) multiplied by the purchase price.

To reflect the difference in the standard of proof under the Securities Act and the number of Defendants identified in the Securities Act cause of action contributing to the Settlement Fund, the calculated Securities Act Recognized Loss Amount shall be multiplied by 2.21.[3]

2.2. Recognized Loss Amounts: Volatility Funds

The calculation of a Recognized Loss Amount will depend upon when the Volatility Fund share subscription was purchased or otherwise acquired, and in what amount.

i. For each subscription amount of the Volatility Fund purchased during the Class Period and redeemed prior to the close of trading on February 22, 2021, the Recognized Loss Amount is zero.

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[2] Generally, the Funds' mismarking is the difference between the historically reported NAV and the Recalculated NAV.

[3] For example, under Section 11 of the Securities Act, plaintiffs need not prove intent to defraud; such proof is required under Section 10(b) of the Exchange Act. *See also The Police Ret. Sys. of St. Louis v. Granite Constr. Inc., et al.*, Order Report and Recommendation of Special Master, ECF No. 258, Case No. 3:19-cv-04744-WHA (N.D. Cal. Sept. 16, 2021) (finding application of 2.21 multiplier in plan of allocation for class members that possess claims under the Securities Act appropriate).

ii. For each subscription amount of the Volatility Fund purchased during the Class Period and held as of the close of trading on February 22, 2021, the Recognized Loss Amount is equal to the amount of inflation in the price at time of purchase (as indicated in Table 2 below) multiplied by the purchase price.

3. ADDITIONAL PROVISIONS

The starting point for calculating a Claimant's Recognized Loss is to match the Claimant's purchases and acquisitions to their sales using the FIFO (*i.e.*, first-in-first-out) method. Under the FIFO method, each share of the Funds redeemed during the Class Period will be matched, in chronological order against the respective share purchased or acquired during the Class Period.

The receipt or grant by gift, inheritance or operation of law of the Funds' Shares during the Class Period shall not be deemed a purchase, acquisition or sale of the shares of the Funds' for the calculation of Recognized Loss, unless (i) the donor or decedent purchased or otherwise acquired such shares during the Class Period; (ii) no Proof of Claim was submitted by or on behalf of the donor, on behalf of the decedent, or by anyone else with respect to such shares; and (iii) it is specifically so provided in the instrument of gift or assignment.

An Authorized Claimant's Recognized Loss shall be the amount used to calculate the Authorized Claimant's *pro rata* share of the Net Settlement Fund. If the sum total of Recognized Loss of all Authorized Claimants who are entitled to receive payment out of the Net Settlement Fund is greater than the Net Settlement Fund, each Authorized Claimant shall receive his, her, or its *pro rata* share of the Net Settlement Fund. The *pro rata* share shall be the Authorized Claimant's Recognized Loss divided by the total of the Recognized Loss of all Authorized Claimants, multiplied by the total amount in the Net Settlement Fund. Given the costs of distribution, the Net Settlement Fund will be allocated among all Authorized Claimants whose distribution amount is $10.00 or greater.

Table 1 Diversified Fund

Date Range		
Start Date	End Date	% Inflated
Prior to 2/1/2017		0%
2/1/2017	2/28/2017	1.56%
3/1/2017	3/31/2017	3.80%
4/1/2017	4/30/2017	3.97%
5/1/2017	5/31/2017	5.36%
6/1/2017	6/30/2017	5.64%
7/1/2017	7/31/2017	4.79%
8/1/2017	8/31/2017	3.61%
9/1/2017	9/30/2017	3.52%
10/1/2017	10/31/2017	4.08%
11/1/2017	11/30/2017	3.75%
12/1/2017	12/31/2017	1.26%
1/1/2018	1/31/2018	2.49%
2/1/2018	2/28/2018	2.70%
3/1/2018	3/31/2018	1.24%
4/1/2018	4/30/2018	0.76%
5/1/2018	5/31/2018	1.30%
6/1/2018	6/30/2018	3.17%
7/1/2018	7/31/2018	2.11%
8/1/2018	8/31/2018	0.13%
9/1/2018	9/30/2018	1.68%
10/1/2018	10/31/2018	4.26%
11/1/2018	11/30/2018	5.48%
12/1/2018	12/31/2018	4.90%
1/1/2019	1/31/2019	4.41%

Date Range		
Start Date	End Date	% Inflated
2/1/2019	2/28/2019	5.26%
3/1/2019	3/31/2019	4.95%
4/1/2019	4/30/2019	5.30%
5/1/2019	5/31/2019	6.20%
6/1/2019	6/30/2019	7.86%
7/1/2019	7/31/2019	8.52%
8/1/2019	8/31/2019	8.63%
9/1/2019	9/30/2019	9.17%
10/1/2019	10/31/2019	11.29%
11/1/2019	11/30/2019	11.16%
12/1/2019	12/31/2019	12.26%
1/1/2020	1/31/2020	12.90%
2/1/2020	2/29/2020	20.94%
3/1/2020	3/31/2020	42.57%
4/1/2020	4/30/2020	41.39%
5/1/2020	5/31/2020	39.03%
6/1/2020	6/30/2020	36.29%
7/1/2020	7/31/2020	34.33%
8/1/2020	8/31/2020	30.32%
9/1/2020	9/30/2020	30.09%
10/1/2020	10/31/2020	30.47%
11/1/2020	11/30/2020	25.84%
12/1/2020	12/31/2020	22.74%
1/1/2021	1/31/2021	23.34%
2/1/2021	2/18/2021	22.75%

Table 2 Volatility Fund

Date Range		
Start Date	End Date	% Inflated
Prior to 2/1/2017		0%
2/1/2017	2/28/2017	3.21%
3/1/2017	3/31/2017	4.12%
4/1/2017	4/30/2017	2.94%
5/1/2017	5/31/2017	2.78%
6/1/2017	6/30/2017	2.72%
7/1/2017	7/31/2017	8.28%
8/1/2017	8/31/2017	11.90%
9/1/2017	9/30/2017	12.08%
10/1/2017	10/31/2017	13.70%
11/1/2017	11/30/2017	11.18%
12/1/2017	12/31/2017	16.90%
1/1/2018	1/31/2018	14.80%
2/1/2018	2/28/2018	15.02%
3/1/2018	3/31/2018	11.39%
4/1/2018	4/30/2018	12.32%
5/1/2018	5/31/2018	11.05%
6/1/2018	6/30/2018	15.25%
7/1/2018	7/31/2018	13.53%
8/1/2018	8/31/2018	9.70%
9/1/2018	9/30/2018	7.70%
10/1/2018	10/31/2018	13.25%
11/1/2018	11/30/2018	10.84%
12/1/2018	12/31/2018	10.60%
1/1/2019	1/31/2019	14.22%

Date Range		
Start Date	End Date	% Inflated
2/1/2019	2/28/2019	14.84%
3/1/2019	3/31/2019	13.00%
4/1/2019	4/30/2019	14.23%
5/1/2019	5/31/2019	17.25%
6/1/2019	6/30/2019	16.61%
7/1/2019	7/31/2019	15.82%
8/1/2019	8/31/2019	16.62%
9/1/2019	9/30/2019	18.15%
10/1/2019	10/31/2019	14.56%
11/1/2019	11/30/2019	14.22%
12/1/2019	12/31/2019	14.03%
1/1/2020	1/31/2020	16.22%
2/1/2020	2/29/2020	20.86%
3/1/2020	3/31/2020	57.82%
4/1/2020	4/30/2020	56.08%
5/1/2020	5/31/2020	52.79%
6/1/2020	6/30/2020	46.32%
7/1/2020	7/31/2020	45.59%
8/1/2020	8/31/2020	45.31%
9/1/2020	9/30/2020	45.59%
10/1/2020	10/31/2020	47.99%
11/1/2020	11/30/2020	42.31%
12/1/2020	12/31/2020	41.05%
1/1/2021	2/22/2021	39.81%

DO I NEED TO CONTACT PLAINTIFFS' COUNSEL IN ORDER TO PARTICIPATE IN DISTRIBUTION OF THE SETTLEMENT FUND?

No. If you have received this Notice and timely submit your Proof of Claim to the designated address, you need not contact Scott+Scott, Rosen, Robbins Geller, or Boies Schiller (together, "Plaintiffs' Counsel"). If your address changes, please contact the Claims Administrator at:

Infinity Q Securities Settlement
Claims Administrator
c/o Gilardi & Co. LLC
P.O. Box 8040
San Rafael, CA 94912-8040
Telephone: 1-888-710-2843

THERE WILL BE NO SETTLEMENT PAYMENTS IF THE STIPULATION IS TERMINATED

The Stipulation may be terminated under several circumstances set forth therein. If the Stipulation is terminated, the Litigation will proceed as if the Stipulation had not been entered into.

WHAT ARE THE REASONS FOR SETTLEMENT?

The Settlement was reached after Plaintiffs' Counsel's thorough investigation, including the review of non-public documents and information provided by Settling Defendants that may have been produced by the Settling Defendants if the Litigation had proceeded. No court has reached any final decision in connection with Lead Plaintiffs' claims. Instead, Lead Plaintiffs and Settling Defendants have agreed to the Settlement, which was reached with the substantial assistance of a highly respected mediator of complex class actions. In reaching the Settlement, the Lead Plaintiffs and the Settling Defendants have avoided the cost, delay, and uncertainty of further litigation.

As in any litigation, Lead Plaintiffs and the proposed Class would face an uncertain outcome if they did not agree to the Settlement. The Plaintiffs expected that the Litigation could continue for a lengthy period of time and that, if Lead Plaintiffs succeeded, Settling Defendants would file appeals that would postpone final resolution of the Litigation. Continuation of the Litigation against Settling Defendants could result in a judgment greater than the Settlement. Conversely, continuing the Litigation could result in no recovery at all or a recovery that is less than the amount of the Settlement.

Lead Plaintiffs and Plaintiffs' Counsel believe that the Settlement is fair and reasonable to the Members of the Class. They have reached this conclusion for several reasons. Specifically, if the Settlement is approved, the Class will receive a certain and immediate monetary recovery. Additionally, Plaintiffs' Counsel believe that the significant and immediate benefits of the Settlement, when weighed against the significant risk, delay, and uncertainty of continued litigation, are a very favorable result for the Class.

WHO REPRESENTS THE CLASS?

The following attorneys are counsel for the Class:

Lead Counsel in the State Action	*Lead Counsel in the Federal Action*
SCOTT+SCOTT ATTORNEYS AT LAW LLP DAVID SCOTT THOMAS LAUGHLIN AMANDA LAWRENCE JACOB LIEBERMAN The Helmsley Building 230 Park Avenue, 17th Floor New York, NY 10169 (212) 223-6444 THE ROSEN LAW FIRM, P.A. LAURENCE ROSEN PHILLIP KIM BRENT LAPOINTE MICHAEL COHEN 275 Madison Avenue, 40th Floor New York, NY 10016 (212) 686-1060	ROBBINS GELLER RUDMAN & DOWD LLP SAMUEL RUDMAN DAVID ROSENFELD ROBERT ROTHMAN 58 South Service Road, Suite 200 Melville, NY 11747 (631) 367-7100 BRIAN COCHRAN 655 W. Broadway, Suite 1900 San Diego, CA 92101 (619) 231-1058 BOIES SCHILLER FLEXNER LLP JOHN ZACH 55 Hudson Yards, 20th Floor New York, NY 10001

If you have any questions about the Litigation or Settlement, you are entitled to consult with Plaintiffs' Counsel by contacting counsel listed above.

You may obtain a copy of the Stipulation by contacting the Claims Administrator at:

Infinity Q Securities Settlement
Claims Administrator
c/o Gilardi & Co. LLC
P.O. Box 8040
San Rafael, CA 94912-8040
Telephone: 1-888-710-2843

HOW WILL PLAINTIFFS' COUNSEL BE PAID?

Lead Counsel, on behalf of Plaintiffs' Counsel, will file a motion for an award of attorneys' fees and expenses that will be considered at the Settlement Hearing. Lead Counsel will apply for an attorneys' fee award for Plaintiffs' Counsel in the amount of up to one-third of the Settlement Amount, plus payment of Plaintiffs' Counsel's expenses incurred in connection with the Litigation. In addition, Lead Plaintiffs may seek an award for their efforts in representing the Class. Such sums, as the Court may approve, will be paid from the Settlement Fund. Class Members are not personally liable for any such fees or expenses.

The Fee and Expense Award requested will be the only payment to Plaintiffs' Counsel for their efforts in achieving the Settlement and for their risk in undertaking this representation on a wholly contingent basis. The fees requested will compensate Plaintiffs' Counsel for their work in achieving the Settlement. The Court will decide what constitutes a reasonable fee award and may award less than the amount requested by Lead Counsel.

CAN I EXCLUDE MYSELF FROM THE SETTLEMENT?

Yes. If you do not want to receive a payment from the Settlement, or you want to keep the right to sue or continue to sue Defendants on your own about the legal issues in the Litigation, then you must take steps to get out of the Class. This is called excluding yourself from, or "opting out" of, the Class. If you are requesting exclusion because you want to bring your own lawsuit based on the matters alleged in the Litigation, you may want to consult an attorney and discuss whether any individual claim that you may wish to pursue would be time-barred by the applicable statutes of limitation or repose.

To exclude yourself from the Class, you must send a signed letter by mail saying that you want to be excluded from the Class in the following Litigation: *In re Infinity Q Diversified Alpha Fund Securities Litigation*, Index No. 651295/2021. Be sure to include your name, address, telephone number, and the date(s), price(s), and number of shares that you purchased or acquired during the Class Period. Your exclusion request must be **postmarked no later than January 10, 2023**, and sent to the Claims Administrator at:

Infinity Q Securities Settlement
c/o Gilardi & Co. LLC
EXCLUSIONS
P.O. Box 5100
Larkspur, CA 94977-5100

You cannot exclude yourself by phone or email. If you make a proper request for exclusion, you will not receive a settlement payment, and you cannot object to the Settlement. If you make a proper request for exclusion, you will not be legally bound by anything that happens in the Litigation.

CAN I OBJECT TO THE SETTLEMENT, REQUESTED ATTORNEYS' FEES, REQUESTED PAYMENT OF COSTS AND EXPENSES, REQUESTED PAYMENT TO THE LEAD PLAINTIFFS, AND/OR PLAN OF ALLOCATION?

Yes. If you are a Class Member, you may object to the terms of the Settlement. Whether or not you object to the terms of the Settlement, you may also object to the requested attorneys' fees, expenses, and Lead Plaintiffs' request for an award for representing the Class, and/or the Plan of Allocation. In order for any objection to be considered, you must file a written statement, accompanied by proof of Class membership, with the Court and send a copy to Lead Counsel and Settling Defendants' Counsel, at the addresses listed below **by January 10, 2023**. The Court's address is Supreme Court of the State of New York, County of New York: Commercial Division, 60 Centre Street, New York, NY 10007; Lead Counsel's addresses are Scott+Scott Attorneys at Law LLP, c/o Thomas Laughlin, The Helmsley Building, 230 Park Avenue, 17th Fl., New York, NY 10169; The Rosen Law Firm, P.A., c/o Phillip Kim, 275 Madison Avenue, 40th Fl., New York, NY 10016; Robbins Geller Rudman & Dowd LLP, c/o Samuel Rudman, 58 South Service Road, Suite 320, Melville, NY 11747; and Settling Defendants' Counsel's addresses are Morgan, Lewis & Bockius LLP, c/o Susan F. DiCicco, 101 Park Avenue, New York, NY 10178; Duane Morris LLP, c/o James J. Coster, 230 Park Avenue, New York, NY 10169; Davis Wright Tremaine LLP, c/o James K. Goldfarb, 1251 Avenue of the Americas, 21st Fl., New York, NY 10020; Faegre Drinker Biddle & Reath LLP, c/o William M. Connolly, One Logan Square, Suite 2000, Philadelphia, PA 19103; Vedder Price, c/o Thomas P. Cimino, Jr., 222 North LaSalle Street, Chicago, IL 60601; Milbank LLP, c/o Sean M. Murphy, 55 Hudson Yards, New York, NY 10019; Arnold & Porter Kay Scholer LLP, c/o Veronica Callahan, 250 W. 55th Street, New York, NY 10001; Petrillo Klein & Boxer LLP, c/o Joshua Klein, 655 Third Avenue, 22nd Fl., New York, NY 10017. Any Class Member objections to any aspect of the Settlement must identify all other class action settlements the objector and his, her, or its counsel has objected to. Attendance at the Settlement Hearing is not necessary. Persons wishing to be heard orally at the Settlement Hearing are required to indicate in their written objection their intention to appear at the hearing and identify any witnesses they may call to testify and exhibits, if any, they intend to introduce into evidence.

WHAT IS THE DIFFERENCE BETWEEN OBJECTING AND EXCLUDING MYSELF FROM THE SETTLEMENT?

Objecting is telling the Court that you do not like something about the proposed Settlement, the Plan of Allocation, Lead Plaintiffs' request for an award for representing the Class, or Lead Counsel's request for an award of attorneys' fees and expenses. You can object only if you stay in the Class. Excluding yourself is telling the Court that you do not want to be part of the Class. If you exclude yourself, you have no basis to object because the Litigation no longer applies to you.

WHAT ARE MY RIGHTS AND OBLIGATIONS UNDER THE SETTLEMENT?

If you are a Class Member and you do not exclude yourself from the Class, you may receive the benefit of, and you will be bound by, the terms of the Settlement, as described in this Notice, upon approval by the Court.

HOW CAN I GET A SETTLEMENT PAYMENT?

In order to qualify for a settlement payment, you must timely complete and return the Proof of Claim that accompanies this Notice. A Proof of Claim is enclosed with this Notice and also may be downloaded at www.InfinityQSecuritiesSettlement.com. Read the instructions carefully.

The Proof of Claim may be completed in two ways: (1) by completing and submitting electronically at www.InfinityQSecuritiesSettlement.com by **11:59 p.m. EST on February 6, 2023**; or (2) by mailing the Proof of Claim together with all documentation requested in the form, **postmarked no later than February 6, 2023**. If you do not submit a timely Proof of Claim with all of the required information, you will not receive a payment from the Settlement Fund; however, unless you expressly exclude yourself from the Class, as described above, you will still be bound in all other respects by the Settlement, Judgment, and releases contained in them.

WHAT CLAIMS WILL BE RELEASED BY THE SETTLEMENT?

If the Settlement is approved by the Court, the Court will enter a Judgment. If the Judgment becomes Final pursuant to the terms of the Stipulation, all Class Members shall be deemed to have, and by operation of the Final Judgment shall have, fully, finally, and forever released, relinquished, and discharged any and all of the Released Defendant Parties from all Released Claims. If the Court approves the Settlement, then only Class Members who exclude themselves from the Settlement will be able to continue to litigate their claims on an individual basis in a separate lawsuit and not as a putative class action.

The parties and claims released by the Settlement, as set forth in the Stipulation, are defined below:

- "Related Party" or "Related Parties" means, as applicable, each Plaintiffs', Class Member's, Plaintiff's Counsel's, Settling Defendants', Settling Defendants' Counsel's, or the Volatility Fund's respective former, present, or future parents, subsidiaries, divisions, controlled and controlling persons, associates, and affiliates and each and all of their respective present and former trustees, employees, members, partners, principals, officers, directors, controlling shareholders, agents, attorneys, advisors (including financial or investment advisors), accountants, auditors, consultants, custodians, administrators, transfer agents, underwriters, investment bankers, distributors, commercial bankers, entities providing fairness opinions, general or limited partners or partnerships, limited liability companies, members, joint ventures and insurers and reinsurers of each of them, and the predecessors, successors, estates, immediate family members, spouses, heirs, executors, trusts, trustees, administrators, agents, legal or personal representatives, assigns, and assignees of each of them, in their capacity as such. Any person excluded from the definition of "Released Defendant Parties" in the last sentence of the paragraph below shall not be considered a Related Party in this definition.
- "Released Defendant Party" or "Released Defendant Parties" means: (i) The Trust for Advised Portfolios and each current and former series or portfolio thereof including the Diversified Fund, and each of their respective current and former affiliates, subsidiaries (including Infinity Q Commodity Fund Ltd. ("IQCF")), predecessors, successors, assigns, underwriters, investment bankers, investment advisors, distributors, administrators, custodians, and transfer agents; (ii) U.S. Bancorp and each of its current and former parents, affiliates, subsidiaries, predecessors, successors, and assigns; (iii) Quasar Distributors, LLC and each of its current and former parents, affiliates, subsidiaries, predecessors, successors, and assigns; (iv) EisnerAmper, Eisner Advisory Group, LLC, Eisner Partners SPV LLC, and each of their current and former parents, affiliates, subsidiaries, predecessors, successors, and assigns; (v) the Volatility Fund and each of its current and former parents, affiliates, subsidiaries, predecessors, successors, assigns, administrators, custodians, and transfer agents; (vi) each of the IQCM Parties and each of their current and former parents, affiliates, subsidiaries, investment advisors, administrators, custodians, transfer agents, predecessors, successors, and assigns; (vii) for each of the foregoing in (i), (ii), (iii) (iv), (v), and (vi) all of their respective current and former officers, trustees, directors, partners, principals, members, managers, controlling persons, employees, attorneys, insurers, agents, representatives, consultants, and Related Parties, including, but not limited to, Christopher E. Kashmerick, John C. Chrystal, Albert J. DiUlio, S.J., Harry E. Resis, Russell B. Simon, and Steven J. Jensen; (viii) Potter and Velissaris in their capacity as directors or officers of IQCF, including their execution or discharge of their duties,

powers, authorities or discretions as directors or officers of IQCF or their conduct of the business or affairs of IQCF; and (ix) for Settling Defendants who are natural persons, their spouses, family members, heirs, insurers, executors, trustees, Related Parties, and any trust of which any such Person is settlor or which is for their benefit or the benefit of their spouses, family members, or heirs.

- "Released Defendants' Claims" means any and all claims, demands, rights, causes of action, and liabilities, whether based in law or equity, arising under federal, state, local, statutory, common, or foreign law or any other law, rule, or regulation including both known and Unknown Claims, as defined below, against Released Plaintiff Parties that arise out of or relate in any way to the institution, prosecution, or settlement of the claims against Settling Defendants in the Litigation, including under Rule 11 of the Federal Rules of Civil Procedure, or for any other fees or cost shifting, except for claims relating to the enforcement of the Settlement. For the avoidance of doubt, nothing herein shall be deemed to impair the rights of TAP, the Diversified Fund, or the Volatility Fund (i) to offset, when distributing the assets of the Diversified Fund or the Volatility Fund, any net gains by Class Members (or other shareholders or limited partners) obtained by virtue of such shareholders' or limited partners' prior purchases and redemptions of the securities of the Diversified Fund prior to February 19, 2021, or (ii) to pursue clawback claims against Class Members, other shareholders, or limited partners in respect of prior redemptions of the Diversified Fund or the Volatility Fund. As against Released Defendant Parties, "Released Defendants' Claims" also means any and all claims, demands, rights, causes of action, and liabilities, whether based in law or equity, arising under federal, state, local, statutory, common, or foreign law or any other law, rule, or regulation including both known and Unknown Claims, as defined below, that any Released Defendant Party may have against any other Released Defendant Party, and that arise out of or relate in any way to TAP, the Funds, the Diversified Fund Shares, the interests in the Volatility Fund, or any of the facts, matters, allegations, transactions, events, disclosures, statements, acts, or omissions involved, set forth, or referred to in the complaints in the Litigation, <u>except</u> that (a) "Released Defendants' Claims" do not include claims related to indemnification between or among Released Defendant Parties or any combination of Released Defendant Parties (except claims related to indemnification against Quasar), including any rights to recover any funds advanced by any Released Defendant Party pursuant to an indemnification obligation, (b) nothing in this Settlement shall constitute a release by TAP, the Diversified Fund, or the Volatility Fund of any claims, demands, rights, causes of action, and liabilities, whether based in law or equity, arising under federal, state, local, statutory, common, or foreign law or any other law, rule, regulation, contract, agreement, or governing instrument, including any rights to recover any funds advanced to any Released Defendant Party pursuant to an indemnification obligation, and including both known and Unknown Claims, as defined below, each may have against any of the TAP Individual Defendants, Quasar, U.S. Bancorp, EisnerAmper Releasees, IQCM, BFLP, Potter, Lindell, Velissaris and/or the IQCM Parties' Releasees, (c) nothing in this Settlement shall constitute a release by any of the TAP Individual Defendants, Quasar, U.S. Bancorp, EisnerAmper Releasees, IQCM, BFLP, Potter, Lindell, Velissaris and/or the IQCM Parties' Releasees of any claims, demands, rights, causes of action, and liabilities, whether based in law or equity, arising under federal, state, local, statutory, common or foreign law or any other law, rule, regulation, contract, agreement, or governing instrument, including both known and Unknown Claims, as defined below, including, but not limited to, claims for indemnity, each may have against TAP, the Diversified Fund, or the Volatility Fund, and (d) nothing in this Settlement shall constitute a release by TAP, the Diversified Fund, or the Volatility Fund or any claims, demands, rights, causes of action, and liabilities, whether based in law or equity, arising under federal, state, local, statutory, common, or foreign law, or any other law, rule, regulation, contract, agreement, or governing instrument, including both known and Unknown Claims, as defined below, each may have against each other.

- "Released Plaintiff Party" or "Released Plaintiff Parties" mean each and every Settlement Class Member, Lead Plaintiff, Lead Counsel, Plaintiffs' Counsel, and their Related Parties.

- "Released Claims" means any and all of the Released Plaintiff Parties' claims, demands, rights, causes of action, and liabilities, whether based in law or equity, arising under federal, state, local statutory, common or foreign law or any other law, rule, or regulation, including both known and Unknown Claims, that were or could have been asserted in the Litigation or that arise out of or relate in any way to both: (a) any of the facts, matters, allegations, transactions, events, disclosures, statements, acts, or omissions involved, set forth, or referred to in any of the complaints in the Litigation; and (b) the purchase, acquisition, sale, redemption, or holding of the securities of the Funds during the Class Period. Notwithstanding the foregoing, "Released Claims" do not include (i) claims asserted derivatively on behalf of TAP or the Diversified Fund or asserted by TAP or the Diversified Fund in its or their own right; (ii) claims asserted on behalf of the Diversified Fund in *Rowan v. Infinity Q Capital Management LLC, et al.*, C.A. No. 2022-0176-MTZ (Del. Ch.); (iii) claims to enforce the Settlement; or (iv) the claims of any Person who submits a timely request for exclusion that is accepted by the Court. "Released Claims" includes "Unknown Claims," as defined below. For the avoidance of doubt, Released Claims includes the claims alleged or that could have been alleged: (a) in the Milwaukee Class Action, and (b) in the Oak Financial Action.

- "Unknown Claims" means (a) any and all Released Claims that any of the Released Plaintiff Parties do not know or suspect to exist in his, her, or its favor at the time of the release of the Released Defendant Parties, which, if known by him, her, or it, might have affected his, her, or its settlement with and release of the Released Defendant Parties, or might have affected his, her, or its decision(s) with respect to the Settlement, including, but not limited to, whether or not to object to the Settlement or seek exclusion from the Class; and (b) any and all Released Defendants' Claims that any of the Released Defendant Parties do not know or suspect to exist in his, her, or its favor at the time of the release of the Released Plaintiff Parties that, if known by him, her, or it, might have affected his, her, or its settlement and release of the Released Plaintiff Parties. With respect to (a) any and all Released Claims against the Released Defendant Parties and (b) any and all Released Defendants' Claims against the Released Plaintiff Parties, the Settling Parties stipulate and agree that, upon the Effective Date, the Settling Parties shall expressly waive, and each Released Plaintiff Party and Released Defendant Party shall be deemed to have, and by operation of the Judgment shall have expressly waived, the provisions, rights, and benefits of California Civil Code §1542, which provides:

  A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release and that, if known by him or her, would have materially affected his or her settlement with the debtor or released party;

  and all provisions, rights, and benefits conferred by any law of any state or territory of the United States, or principle of common law, which is similar, comparable, or equivalent to Cal. Civ. Code §1542. The Released Plaintiff Parties and Released Defendant Parties acknowledge that they may hereafter discover facts, legal theories, or authorities in addition to or different from those that he, she, it, or their counsel now knows or believes to be true with respect to the subject matter of the Released Claims or Released Defendants' Claims, but (a) the Released Plaintiff Parties shall expressly, fully, finally, and forever waive, compromise, settle, discharge, extinguish, and release, and each Released Plaintiff Party shall be deemed to have waived, compromised, settled, discharged, extinguished, and released, and upon the Effective Date, and by operation of the Judgment, shall have waived, compromised, settled, discharged, extinguished, and released, fully, finally, and forever, any and all Released Claims against the Released Defendant Parties, known or unknown, suspected or unsuspected, contingent or non-contingent, accrued or unaccrued, whether or not concealed or hidden, which now exist, or heretofore have existed, or may hereafter exist, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law, or rule, without regard to the subsequent discovery or existence of such different or additional facts, legal theories, or authorities; and (b) the Released Defendant Parties other than the Volatility Fund shall expressly fully, finally, and forever waive, compromise, settle, discharge, extinguish, and release, and each Released Defendant Party shall be deemed to have waived, compromised, settled, discharged, extinguished, and released, and upon the Effective Date, and by operation of the Judgment, shall have waived, compromised, settled, discharged, extinguished, and released, fully, finally, and forever, any and all Released Defendants' Claims against the Released Plaintiff Parties, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law, or rule, without regard to the subsequent discovery or existence of such different or additional facts, legal theories, or authorities. The Settling Parties acknowledge, and the Released Plaintiff Parties and Released Defendant Parties shall be deemed, by operation of the Judgment, to have acknowledged that the foregoing waiver was separately bargained for and is an essential element of the Settlement of which this release is a part.

For the avoidance of doubt, the Funds' prior and future distributions of net assets of the Funds are not part of this Settlement. Submitting a Proof of Claim is required to participate in the Settlement but has no bearing on whether you as a current shareholder of the Funds will receive any future distributions made by the Funds. Similarly, objecting to or opting out of this Settlement has no bearing on whether you as a current shareholder of the Funds will receive any future distributions made by the Funds. Current shareholders of the Funds that have been subject to reduction in their distributions due to net gains obtained by such shareholders' purchases and redemptions of the Funds shares prior to February 19, 2021, may continue to have future distributions from the Funds reduced due to net gains.

Moreover, the Funds may pursue clawback claims against Class Members, or other current or former shareholders, to recoup proceeds received by such shareholders as a result of redemptions made prior to February 19, 2021, at artificially inflated prices. Proceeds received as a result of clawback claims could be distributed to the Funds' current shareholders by the Funds. The parties estimate that a relatively small number of Class Members could be subjected to clawback claims. By way of example, based on TAP's preliminary analysis, of the more than 58,000 current and former shareholder accounts holding Diversified Fund shares, less than 1% (excluding any Defendants) that may have incurred Securities Act damages also realized net gains in excess of the maximum potential distribution from the Diversified Fund to which those shareholders would otherwise be entitled. Of these approximately 475 current and former shareholder accounts, 53 realized more than $25,000 in excess net gains; 31 realized more than $50,000 in excess net gains; and 16 realized more than $100,000 in excess net gains. The total excess net gains realized by those 475 shareholder accounts is approximately $10.3 million.

It is the intent of the Lead Plaintiffs and the Settling Defendants that the Settlement of this Action will, if approved, result in the release by all Members of the Class of all claims, including those claims asserted in the Milwaukee Class Action, except for the claims of any plaintiff who opts out of the Settlement. Both the plaintiff and members of the putative class in the Milwaukee Class Action are Members of the Class.

As described more fully in the Stipulation and its exhibits, any final verdict or judgment that may be obtained by or on behalf of the Class or a Class Member against the Non-Settling Defendants shall be reduced by the greater of: (a) an amount that corresponds to the percentage of responsibility of the Settling Defendants; or (b) the amount paid by or on behalf of the Settling Defendants to the Class or Class Member.

HOW CAN I REVIEW THE COMPLETE TERMS OF THE SETTLEMENT?

The above description of the proposed Settlement is only a summary. The complete terms are set forth in the Stipulation (including its exhibits), which may be obtained at www.InfinityQSecuritiesSettlement.com, or by contacting Lead Counsel listed above.

THE SETTLEMENT HEARING

The Court will hold a Settlement Hearing on January 31, 2023, at 2:00 p.m. before the Honorable Andrew S. Borrok at the Supreme Court of the State of New York, County of New York: Commercial Division, 60 Centre Street, Courtroom 238, New York, NY 10007, for the purpose of determining whether: (i) the Settlement, as set forth in the Stipulation, for up to $48,000,000 in cash should be approved by the Court as fair, reasonable, and adequate; (ii) Judgment, as provided under the Stipulation, should be entered; (iii) to award Plaintiffs' Counsel attorneys' fees and expenses out of the Settlement Fund and, if so, in what amount; (iv) to pay Lead Plaintiffs for their efforts in representing the Class out of the Settlement Fund and, if so, in what amount; and (v) the Plan of Allocation should be approved by the Court. The Court may adjourn or continue the Settlement Hearing without further notice to Members of the Class.

Any Class Member may appear at the Settlement Hearing and be heard on any of the foregoing matters; provided, however, that no such Person shall be heard unless his, her, or its objection is made in writing and is filed, together with proof of membership in the Class and with copies of all other papers and briefs to be submitted by them to the Court at the Settlement Hearing, with the Court no later than January 10, 2023, and showing proof of service on the following counsel:

Lead Counsel in the State Action	*Lead Counsel in the Federal Action*
SCOTT+SCOTT ATTORNEYS AT LAW LLP Thomas Laughlin The Helmsley Building 230 Park Avenue, 17th Floor New York, NY 10169 (212) 223-6444 THE ROSEN LAW FIRM, P.A. Phillip Kim 275 Madison Avenue, 40th Floor New York, NY 10016 (212) 686-1060	ROBBINS GELLER RUDMAN & DOWD LLP Samuel Rudman 58 South Service Road, Suite 200 Melville, NY 11747 (631) 367-7100

Unless otherwise directed by the Court, any Class Member who does not make his, her, or its objection in the manner provided herein shall be deemed to have waived all objections to the Settlement and shall be foreclosed from raising (in this or any other proceeding or on any appeal) any objection and any untimely objection shall be barred.

If you hire an attorney (at your own expense) to represent you for purposes of objecting, your attorney must serve a notice of appearance on counsel listed above and file it with the Court (at the address set out above) by no later than January 10, 2023.

INJUNCTION

The Court has issued an order enjoining all Class Members from instituting, commencing, maintaining, or prosecuting any action in any court or tribunal that asserts Released Claims against any Released Defendant Party, pending Final determination by the Court of whether the Settlement should be approved.

HOW DO I OBTAIN ADDITIONAL INFORMATION?

This Notice contains only a summary of the terms of the proposed Settlement. The records in the Litigation may be examined and copied at any time during regular office hours, and subject to customary copying fees, at the Clerk of the Supreme Court of New York, County of New York. In addition, all of the Settlement documents, including the Stipulation, this Notice, the Proof of Claim, and proposed Judgment, may be obtained by contacting the Claims Administrator at:

Infinity Q Securities Settlement
Claims Administrator
c/o Gilardi & Co. LLC
P.O. Box 8040
San Rafael, CA 94912-8040
Telephone: 1-888-710-2843

In addition, you may contact Thomas Laughlin, Esq., Scott+Scott Attorneys at Law LLP, The Helmsley Building, 230 Park Avenue, 17th Floor, New York, NY 10169, (212) 223-6444; Phillip Kim, Esq., The Rosen Law Firm, P.A., 275 Madison Avenue, 40th Floor, New York, NY 10016, (212) 686-1060; or Samuel Rudman, Esq., Robbins Geller Rudman & Dowd LLP, 58 South Service Road, Suite 200, Melville, NY 11747, (631) 367-1173, if you have any questions about the Litigation or the Settlement.

DO NOT WRITE TO OR TELEPHONE THE COURT FOR INFORMATION.
SPECIAL NOTICE TO BANKS, BROKERS, AND OTHER NOMINEES

If you hold eligible shares as a nominee for a beneficial owner, then, within 14 business days after you receive this Notice, you must either: (i) send a copy of this Notice by First-Class Mail to all such Persons; or (ii) provide a list of the names and addresses of such Persons to the Claims Administrator at notifications@gilardi.com or:

Infinity Q Securities Settlement
Claims Administrator
c/o Gilardi & Co. LLC
P.O. Box 8040
San Rafael, CA 94912-8040

If you choose to mail the Notice and Proof of Claim yourself, you may obtain from the Claims Administrator (without cost to you) as many additional copies of these documents as you will need to complete the mailing.

Regardless of whether you choose to complete the mailing yourself or elect to have the mailing performed for you, you may obtain reimbursement for, or advancement of, reasonable administrative costs actually incurred or expected to be incurred in connection with forwarding the Notice, and which would not have been incurred but for the obligation to forward the Notice, upon submission of appropriate documentation to the Claims Administrator.

DATED: October 17, 2022

BY ORDER OF THE SUPREME COURT OF
NEW YORK, COUNTY OF NEW YORK:
COMMERCIAL DIVISION
THE HONORABLE ANDREW S. BORROK, J.S.C

SUPREME COURT OF THE STATE OF NEW YORK
COUNTY OF NEW YORK: COMMERCIAL DIVISION

In re INFINITY Q DIVERSIFIED ALPHA FUND SECURITIES LITIGATION This Document Relates To: The Consolidated Action	Index No. 651295/2021 CLASS ACTION Part 53: Justice Andrew S. Borrok
DOMINUS MULTIMANAGER FUND, LTD., Individually and on Behalf of All Others Similarly Situated, Plaintiff, v. INFINITY Q CAPITAL MANAGEMENT LLC, et al., Defendants.	Index No. 652906/2022 CLASS ACTION Part 53: Justice Andrew S. Borrok

PROOF OF CLAIM AND RELEASE

I. GENERAL INSTRUCTIONS

1. To recover as a Class Member based on the claims in the actions captioned *In re Infinity Q Diversified Alpha Fund Securities Litigation*, Index No. 651295/2021, and *Dominus Multimanager Fund, Ltd. v. Infinity Q Capital Management LLC, et al.*, Index No. 652906/2022, both pending in the Supreme Court of the State of New York, County of New York (the "State Actions"), and *In re Infinity Q Diversified Alpha Fund and Infinity Q Volatility Alpha Fund Securities Litigation* (formerly known as *Yang v. Trust for Advised Portfolios, et al.*), Case No. 1:21-cv-01047-FB-MMH (E.D.N.Y), pending in the United States District Court for the Eastern District of New York (the "Federal Action") (collectively, the "Litigation"), you must complete and sign this Proof of Claim and Release form ("Proof of Claim"). If you fail to file a properly addressed Proof of Claim (as set forth in ¶3 below), your claim may be rejected, and you may be precluded from any recovery from the Net Settlement Fund created in connection with the proposed Settlement.

2. Submission of this Proof of Claim, however, does not ensure that you will share in the proceeds of the Settlement of the Litigation.

3. YOU MUST MAIL OR SUBMIT ONLINE YOUR COMPLETED AND SIGNED PROOF OF CLAIM, ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN. ELECTRONIC VERSIONS OF THE PROOF CLAIM MUST BE SUBMITTED BY 11:59 P.M. EST **ON FEBRUARY 6, 2023**. IF YOU DO NOT COMPLETE AN ELECTRONIC PROOF OF CLAIM, THIS PROOF OF CLAIM FORM MUST BE COMPLETED AND MAILED BY FIRST-CLASS MAIL, POSTMARKED NO LATER THAN **FEBRUARY 6, 2023** TO THE CLAIMS ADMINISTRATOR AS FOLLOWS:

Infinity Q Securities Settlement
Claims Administrator
c/o Gilardi & Co. LLC
P.O. Box 8040
San Rafael, CA 94912-8040
Telephone: 1-888-710-2843
Online Submissions:
www.InfinityQSecuritiesSettlement.com

4. If you are a Class Member and you do not timely request exclusion, you are bound by the terms of any judgment entered in the Litigation, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM.

II. CLAIMANT IDENTIFICATION

You are a Member of the Class if you: (i) purchased or otherwise acquired investor shares (Ticker Symbol IQDAX) and/or institutional shares (Ticker Symbol IQDNX) in Infinity Q Diversified Alpha Fund between February 22, 2016 and February 22, 2021, both dates inclusive (the "Class Period"); and/or (ii) invested through either the Infinity Q Volatility Alpha Fund, L.P., or the Infinity Q Volatility Alpha Offshore Fund, Ltd. during the Class Period.

Use Part I of this form entitled "Claimant Information" to identify each purchaser or acquirer of record ("nominee") of the shares that form the basis of this claim.

THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL PURCHASER(S) OR ACQUIRER(S) OR THE LEGAL REPRESENTATIVE OF SUCH PURCHASER(S) OR ACQUIRER(S) OF THE SHARES UPON WHICH THIS CLAIM IS BASED.

All joint purchasers or acquirers must sign this Proof of Claim. Executors, administrators, guardians, conservators, and trustees must complete and sign this Proof of Claim on behalf of persons represented by them and their authority must accompany this Proof of Claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

III. CLAIM FORM

Use Part II and Part III of this form entitled "Schedule of Transactions" to supply all required details of your transaction(s). If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

On the schedules, provide all of the requested information with respect to ***all*** of your purchases and acquisitions of shares and/or subscriptions in Infinity Q Diversified Alpha Fund, the Infinity Q Volatility Alpha Fund, L.P., and/or the Infinity Q Volatility Alpha Offshore Fund, Ltd. during the Class Period, whether such transactions resulted in a profit or a loss. You must also provide all of the requested information with respect to the number of shares and/or amount of subscriptions in the Infinity Q Diversified Alpha Fund, the Infinity Q Volatility Alpha Fund, L.P., and/or the Infinity Q Volatility Alpha Offshore Fund, Ltd. that you held at the close of the Class Period, and as to your total purchases. Failure to report all such transactions may result in the rejection of your claim.

List each transaction separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

COPIES OF BROKER CONFIRMATIONS OR OTHER DOCUMENTATION OF YOUR TRANSACTIONS IN THE FUND SHOULD BE ATTACHED TO YOUR PROOF OF CLAIM. FAILURE TO PROVIDE THIS DOCUMENTATION COULD DELAY VERIFICATION OF YOUR CLAIM OR RESULT IN REJECTION OF YOUR CLAIM.

NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All such claimants MUST also submit a manually signed paper Proof of Claim whether or not they also submit electronic copies. Only one claim should be submitted for each separate legal entity and the complete name of the beneficial owner(s) of the securities must be entered where called for. Distribution payments must be made by check or electronic payment payable to the Authorized Claimant (beneficial account owner). The Third-Party Filer shall not be the payee of any distribution payment check or electronic distribution payment. If you wish to submit your claim electronically, you must contact the Claims Administrator at Gilardi & Co. LLC to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

Official Office Use Only

SUPREME COURT OF THE STATE OF NEW YORK
COUNTY OF NEW YORK: COMMERCIAL DIVISION

In re Infinity Q Diversified Alpha Fund Securities Litigation

Index No. 651295/2021

Must Be Postmarked (if Mailed) or Received (if Submitted Online) No Later Than February 6, 2023

INY

Please Type or Print in the Boxes Below
Do NOT use Red Ink, Pencil, or Staples

PROOF OF CLAIM AND RELEASE

The Claims Administrator will use this information for all communications regarding this Proof of Claim. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

I. CLAIMANT INFORMATION

Last Name | M.I. | First Name

Last Name (Co-Beneficial Owner) | M.I. | First Name (Co-Beneficial Owner)

○ IRA ○ Joint Tenancy ○ Employee ○ Individual ○ Other _____ (specify)

Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA

Trustee/Asset Manager/Nominee/Record Owner's Name (If Different from Beneficial Owner Listed Above)

Account#/Fund# (Not Necessary for Individual Filers)

Last Four Digits of Social Security Number

or

Taxpayer Identification Number —

Telephone Number (Primary Daytime) — —

Telephone Number (Alternate) — —

Email Address

MAILING INFORMATION

Address

Address

City | State | ZIP Code

Foreign Province | Foreign Postal Code | Foreign Country Name/Abbreviation

FOR CLAIMS PROCESSING ONLY	OB	CB	○ ATP ○ KE ○ ICI	○ BE ○ DR ○ EM	○ FL ○ ME ○ ND	○ OP ○ RE ○ SH	MM / DD / YYYY	FOR CLAIMS PROCESSING ONLY

II. SCHEDULE OF TRANSACTIONS IN INFINITY Q DIVERSIFIED ALPHA FUND

A. **Purchases:** Separately list each and every purchase of the securities of the Infinity Q Diversified Alpha Fund (the "Diversified Fund" during the period between February 22, 2016 and February 22, 2021, inclusive (the "Class Period"), and provide the following information (must be documented):

PURCHASES

	Share Class: "A" for Investor (IQDAX) or "B" for Institutional (IQDNX)	Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares of the Diversified Fund Purchased	Total Cost (Excluding Commissions, Taxes, and Fees)	Proof of Purchase Enclosed?
		M M / D D / Y Y			
1.		/ /		$. 00	Y N
2.		/ /		$. 00	Y N
3.		/ /		$. 00	Y N
4.		/ /		$. 00	Y N
5.		/ /		$. 00	Y N

B. **Sales:** Separately list each and every sale of the securities of the Diversified Fund during the period between February 22, 2016 and February 22, 2021, inclusive, and provide the following information (must be documented):

SALES

	Share Class: "A" for Investor (IQDAX) or "B" for Institutional (IQDNX)	Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares of the Diversified Fund Sold	Amount Received (Excluding Commissions, Taxes, and Fees)	Proof of Sale Enclosed?
		M M / D D / Y Y			
1.		/ /		$. 00	Y N
2.		/ /		$. 00	Y N
3.		/ /		$. 00	Y N
4.		/ /		$. 00	Y N
5.		/ /		$. 00	Y N

C. **Ending Holdings:** State the total number of shares of the Diversified Fund owned at the close of trading on February 22, 2021 (must be documented).

Investor Shares (Ticker Symbol IQDAX)	
Institutional Shares (Ticker Symbol IQDNX)	

If additional space is needed, attach separate, numbered sheets, giving all required information, substantially in the same format, and print your name and Social Security or Taxpayer Identification number at the top of each sheet.

III SCHEDULE OF TRANSACTIONS IN INFINITY Q VOLATILITY ALPHA FUND, L.P. AND/OR THE INFINITY Q VOLATILITY ALPHA OFFSHORE FUND, LTD.

A. **Purchases:** Separately list each and every purchase of the securities of the Infinity Q Volatility Alpha Fund, L.P. or the Infinity Q Volatility Alpha Offshore Fund, Ltd. (the "Volatility Fund") during the period between February 22, 2016 and February 22, 2021, inclusive, and provide the following information (must be documented):

PURCHASES

	Trade Date (List Chronologically) (Month/Day/Year)	Amount Subscribed in the Volatility Fund
	M M / D D / Y Y	
1.	/ /	$. 00
2.	/ /	$. 00
3.	/ /	$. 00
4.	/ /	$. 00
5.	/ /	$. 00

B. **Sales:** Separately list each and every sale of the securities of the Volatility Fund during the period between February 22, 2016 and February 22, 2021, inclusive, and provide the following information (must be documented):

SALES

	Trade Date (List Chronologically) (Month/Day/Year)	Amount Subscribed in the Volatility Fund
	M M / D D / Y Y	
1.	/ /	$. 00
2.	/ /	$. 00
3.	/ /	$. 00
4.	/ /	$. 00
5.	/ /	$. 00

C. **Ending Holdings:** State the amount subscribed in the Volatility Fund at the close of trading on February 22, 2021 (must be documented). .

If additional space is needed, attach separate, numbered sheets, giving all required information, substantially in the same format, and print your name and Social Security or Taxpayer Identification number at the top of each sheet.

YOU MUST READ AND SIGN THE RELEASE BELOW. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.

IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

I (We) submit this Proof of Claim under the terms of the Stipulation described in the Notice. I (We) also submit to the jurisdiction of the Supreme Court of the State of New York, County of New York: Commercial Division, with respect to my (our) claim as a Class Member and for purposes of enforcing the release set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of any judgment that may be entered in the Litigation. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim covering the same purchases, acquisitions, or sales of Fund shares during the Class Period.

V. RELEASE

1. I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, and discharge from the Released Claims each and all of the Released Defendant Parties, defined below.

2. "Released Claims" means any and all of the Released Plaintiff Parties' claims, demands, rights, and causes of action and liabilities, whether based in law or equity, arising under federal, state, local statutory, common or foreign law or any other law, rule, or regulation, including both known and Unknown Claims, that were or could have been asserted in the Litigation that arise out of or relate in any way to both: (a) any of the facts, matters, allegations, transactions, events, disclosures, statements, acts, or omissions involved, set forth, or referred to in the complaints in the Litigation; and (b) the purchase, acquisition, sale, redemption, or holding of the securities of Infinity Q Diversified Alpha Fund, the Infinity Q Volatility Alpha Fund, L.P., or the Infinity Q Volatility Alpha Offshore Fund, Ltd. during the Class Period. Notwithstanding the foregoing, "Released Claims" do not include (i) claims asserted derivatively on behalf of TAP or the Diversified Fund or asserted by TAP or the Diversified Fund in its or their own right; (ii) the claims asserted on behalf of the Diversified Fund in *Rowan v. Infinity Q Capital Management LLC, et al*., C.A. No. 2022-0176-MTZ (Del. Ch.); (iii) claims to enforce the Settlement; or (iv) claims of any Person who submits a request for exclusion that is accepted by the Court. "Released Claims" includes "Unknown Claims," as defined below. For the avoidance of doubt, Released Claims includes the claims alleged or that could have been alleged: (a) in *Sherck v. U.S. Bancorp Fund Services, LLC*, Case No. 2022CV000846 (Wis. Cir. Ct.) (the "Milwaukee Class Action"), and (b) in *Oak Financial Group, Inc. v. Infinity Q Diversified Alpha Fund, et al*., Case No. 1:21-cv-03249-FB-MMH (E.D.N.Y.) (the "Oak Financial Action").

3. "Released Defendant Party" or "Released Defendant Parties" means (i) The Trust for Advised Portfolios and each current and former series or portfolio thereof including the Diversified Fund, and each of their respective current and former affiliates, subsidiaries (including Infinity Q Commodity Fund Ltd. ("IQCF")), predecessors, successors, assigns, underwriters, investment bankers, investment advisors distributors, administrators, custodians, and transfer agents; (ii) U.S. Bancorp Fund Services LLC and each of its current and former parents, affiliates, subsidiaries, predecessors, successors, and assigns; (iii) Quasar Distributors, LLC and each of its current and former parents, affiliates, subsidiaries, predecessors, successors, and assigns; (iv) EisnerAmper LLP, Eisner Advisory Group, LLC, Eisner Partners SPV LLC, and each of their current and former parents, affiliates, subsidiaries, predecessors, successors, and assigns; (v) the Volatility Fund and each of its current and former parents, affiliates, subsidiaries, predecessors, successors, assigns, administrators, custodians, and transfer agents; (vi) each of the IQCM Parties and each of their current and former parents, affiliates, subsidiaries, investment advisors, administrators, custodians, transfer agents, predecessors, successors, and assigns; (vii) for each of the foregoing in (i), (ii), (iii), (iv), (v), and (vi) all of their respective current and former officers, trustees, directors, partners, principals, members, managers, controlling persons, employees, attorneys, insurers, agents, representatives, consultants, and Related Parties, including, but not limited to, Christopher E. Kashmerick, John C. Chrystal, Albert J. DiUlio, S.J., Harry E. Resis, Russell B. Simon, and Steven J. Jensen; (viii) Potter and Velissaris in their capacity as directors or officers of IQCF, including their execution or discharge of their duties, powers, authorities, or discretions as directors or officers of IQCF or their conduct of the business or affairs of IQCF; and (ix) for Settling Defendants who are natural persons, their spouses, family members, heirs, insurers, executors, trustees, Related Parties, and any trust of which any such Person is settlor or which is for their benefit or the benefit of their spouses, family members, or heirs.

4. "Released Plaintiff Party" or "Released Plaintiff Parties" means each and every Class Member, Lead Plaintiff, Lead Counsel, Plaintiffs' Counsel, and their Related Parties.

5. "Related Party" or ""Related Parties" means, as applicable, each Plaintiff's, Class Member's, Plaintiff's Counsel's, Settling Defendants', Settling Defendants' Counsel's, or the Volatility Fund's respective former, present, or future parents, subsidiaries, divisions, controlled and controlling persons, associates, and affiliates and each and all of their respective present and former trustees, employees, members, partners, principals, officers, directors, controlling shareholders, agents, attorneys, advisors (including financial or investment advisors), accountants, auditors, consultants, custodians, underwriters, administrators, transfer agents, investment bankers, distributors, commercial bankers, entities providing fairness opinions, general or limited partners or partnerships, limited liability companies, members, joint ventures, and insurers and reinsurers of each of them; and the predecessors, successors, estates, immediate family members, spouses, heirs, executors, trusts, trustees, administrators, agents, legal or personal representatives, assigns, and assignees of each of them, in their capacity as such. Any Person excluded from the definition of "Released Defendant Parties" in the last sentence of Section V.3 hereof shall not be considered a Related Party in this definition.

6. "Released Defendants' Claims" means any and all claims, demands, rights, causes of action, and liabilities, whether based in law or equity, arising under federal, state, local, statutory, common, or foreign law, or any other law, rule, or regulation including both known and Unknown Claims, as defined below, against Released Plaintiff Parties that arise out of or relate in any way to the institution, prosecution, or settlement of the claims against Settling Defendants in the Litigation, including under Rule 11 of the Federal Rules of Civil Procedure, or for any other fees or cost shifting, except for claims relating to the enforcement of the

Settlement. For the avoidance of doubt, nothing herein shall be deemed to impair the rights of TAP, the Diversified Fund, or the Volatility Fund (i) to offset, when distributing the assets of the Diversified Fund or Volatility Fund, any net gains by Class Members (or other shareholders or limited partners) obtained by virtue of such shareholders' or limited partners' prior purchases and redemptions of the securities of the Diversified Fund or the Volatility Fund prior to February 19, 2021, or (ii) to pursue clawback claims against Class Members, other shareholders, or limited partners in respect of prior redemptions of the Diversified Fund or the Volatility Fund. As against Released Defendant Parties, "Released Defendants' Claims" also means any and all claims, demands, rights, causes of action, and liabilities, whether based in law or equity, arising under federal, state, local, statutory, common, or foreign law or any other law, rule, or regulation including both known and Unknown Claims, as defined below, that any Released Defendant Party may have against any other Released Defendant Party, and that arise out of or relate in any way to TAP, the Diversified Fund, Diversified Fund Shares, the Volatility Fund, the interests in the Volatility Fund, or any of the facts, matters, allegations, transactions, events, disclosures, statements, acts, or omissions involved, set forth, or referred to in the complaints in the Litigation, except that (a) "Released Defendants' Claims" do not include claims related to indemnification between or among Released Defendant Parties or any combination of Released Defendant Parties (except claims related to indemnification against Quasar), including any rights to recover any funds advanced by any Released Defendant Party pursuant to any indemnification obligation, (b) nothing in this Settlement shall constitute a release by TAP, the Diversified Fund, or the Volatility Fund of any claims, demands, rights, causes of action, and liabilities, whether based in law or equity, arising under federal, state, local, statutory, common, or foreign law, or any other law, rule, regulation, contract, agreement, or governing instrument, including any rights to recover any funds advanced to any Released Defendant Party pursuant to an indemnification obligation, and including both known and Unknown Claims, as defined below, each may have against any of the TAP Individual Defendants, Quasar, U.S. Bancorp, EisnerAmper Releasees, IQCM, BFLP, Potter, Lindell, Velissaris and/or the IQCM Parties' Releasees, (c) nothing in this Settlement shall constitute a release by any of the TAP Individual Defendants, Quasar, U.S. Bancorp, EisnerAmper Releasees, IQCM, BFLP, Potter, Lindell, Velissaris and/or the IQCM Parties' Releasees of any claims, demands, rights, causes of action, and liabilities, whether based in law or equity, arising under federal, state, local, statutory, common or foreign law, or any other law, rule, regulation, contract, agreement, or governing instrument, including both known and Unknown Claims, as defined below, including, but not limited to, claims for indemnity, each may have against TAP, the Diversified Fund, or the Volatility Fund, and (d) nothing in this Settlement shall constitute a release by TAP, the Diversified Fund, or the Volatility Fund of any claims, demands, rights, causes of action, and liabilities, whether based in law or equity, arising under federal, state, local, statutory, common, or foreign law, or any other law, rule, regulation, contract, agreement, or governing instrument, including both known and Unknown Claims, as defined below, each may have against each other.

7. "Unknown Claims" means: (a) any and all Released Claims that any of the Released Plaintiff Parties do not know or suspect to exist in his, her, or its favor at the time of the release of the Released Defendant Parties, which, if known by him, her, or it, might have affected his, her, or its settlement with and release of the Released Defendant Parties, or might have affected his, her, or its decision(s) with respect to the Settlement, including, but not limited to, whether or not to object to this Settlement or seek exclusion from the Class; and (b) any and all Released Defendants' Claims that any of the Released Defendant Parties do not know or suspect to exist in his, her, or its favor at the time of the release of the Released Plaintiff Parties or Released Defendant Parties that, if known by him, her, or it, might have affected his, her, or its settlement and release of the Released Plaintiff Parties or Released Defendant Parties. With respect to (a) any and all Released Claims against the Released Defendant Parties; and (b) any and all Released Defendants' Claims against the Released Plaintiff Parties, the Settling Parties stipulate and agree that, upon the Effective Date, the Settling Parties shall expressly waive, and each Released Plaintiff Party and Released Defendant Party shall be deemed to have, and by operation of the Judgment shall have expressly waived, the provisions, rights, and benefits of California Civil Code §1542, which provides:

> **A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release and that, if known by him or her, would have materially affected his or her settlement with the debtor or released party;**

and all provisions, rights, and benefits conferred by any law of any state or territory of the United States, or principle of common law, which is similar, comparable, or equivalent to Cal. Civ. Code §1542. The Released Plaintiff Parties and Released Defendant Parties acknowledge that they may hereafter discover facts, legal theories, or authorities in addition to, or different from, those which he, she, it, or their counsel now knows or believes to be true with respect to the subject matter of the Released Claims or Released Defendants' Claims, but: (a) the Released Plaintiff Parties shall expressly, fully, finally, and forever waive, compromise, settle, discharge, extinguish, and release, and each Released Plaintiff Party shall be deemed to have waived, compromised, settled, discharged, extinguished, and released, and upon the Effective Date, and by operation of the Judgment, shall have waived, compromised, settled, discharged, extinguished, and released, fully, finally, and forever, any and all Released Claims against the Released Defendant Parties, known or unknown, suspected or unsuspected, contingent or non-contingent, accrued or unaccrued, whether or not concealed or hidden, which now exist, or heretofore have existed, or may hereafter exist, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law, or rule, without regard to the subsequent discovery or existence of such different or additional facts, legal theories, or authorities; and (b) the Released Defendant Parties other than the Volatility Fund shall expressly fully, finally, and forever waive, compromise, settle, discharge, extinguish, and release, and each Released Defendant Party shall be deemed to have waived, compromised, settled, discharged, extinguished, and released, and upon the Effective Date, and by operation of the Judgment, shall have waived, compromised, settled, discharged, extinguished, and released, fully, finally, and forever, any and all Released Defendants' Claims against the Released Plaintiff Parties and Released Defendant Parties, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or

hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law, or rule, without regard to the subsequent discovery or existence of such different or additional facts, legal theories, or authorities. The Settling Parties acknowledge, and the Released Plaintiff Parties and Released Defendant Parties shall be deemed, by operation of the Judgment, to have acknowledged that the foregoing waiver was separately bargained for and is an essential element of the Settlement of which this release is a part.

8. I (We) hereby warrant and represent that I (we) have not assigned or transferred, or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.
9. I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Fund shares that occurred during the Class Period, as well as the number of shares held by me (us) at the close of trading on February 22, 2021.
10. I (We) certify that I am (we are) NOT subject to backup tax withholding. (If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the prior sentence.)

I (We) declare under penalty of perjury under the laws of the State of New York that all of the foregoing information supplied on this Proof of Claim by the undersigned is true and correct.

Executed this_____ day of _____ in _____
(Month/Year) (City/State/Country)

_____	_____
(Sign your name here)	(Sign your name here)
_____	_____
(Type or print your name here)	(Type or print your name here)
_____	_____
(Capacity of person(s) signing, *e.g.*, Beneficial Purchaser or Acquirer, Executor or Administrator)	(Capacity of person(s) signing, *e.g.*, Beneficial Purchaser or Acquirer, Executor or Administrator)

THIS PROOF OF CLAIM MUST BE SUBMITTED NO LATER THAN FEBRUARY 6, 2023 AND MUST BE MAILED TO:

Infinity Q Securities Settlement
Claims Administrator
c/o Gilardi & Co. LLC
P.O. Box 8040
San Rafael, CA 94912-8040
Telephone: 1-888-710-2843

Online Submissions:
www.InfinityQSecuritiesSettlement.com

A Proof of Claim received by the Claims Administrator shall be deemed to have been submitted when posted, if mailed by February 6, 2023, and if a postmark is indicated on the envelope and it is mailed first class and addressed in accordance with the above instructions. In all other cases, a Proof of Claim shall be deemed to have been submitted when actually received by the Claims Administrator. You should be aware that it will take a significant amount of time to process fully all of the Proofs of Claim and to administer the Settlement. This work will be completed as promptly as time permits, given the need to investigate and tabulate each Proof of Claim. Please notify the Claims Administrator of any change of address.

REMINDER CHECKLIST

- Please be sure to sign this Proof of Claim. If this Proof of Claim is submitted on behalf of joint claimants, then each claimant must sign.
- Please remember to attach supporting documents. DO NOT send any originals of certificates or other documentation as they will not be returned. Keep copies of everything you submit.
- **DO NOT** use highlighter on the Proof of Claim or any supporting documents.
- If you desire an acknowledgment of receipt of your Proof of Claim, please send it Certified Mail, Return Receipt Requested, or its equivalent.
- If you move or change your address, telephone number, or email address, please submit the new information to the Claims Administrator, as well as any other information that will assist us in contacting you. NOTE: Failure to submit updated information to the Claims Administrator may result in the Claims Administrator's inability to contact you regarding issues with your claim or deliver payment to you.

EXHIBIT B

Gilardi & Co LLC
1 McInnis Parkway
Suite 250
San Rafael, CA 94903
P: (415) 458-3015

November 7, 2022

«FirstName» «LastName»
«Company»
«Addr1»
«Addr2»
South Bend, IN 46601
«FCountry»

Re: **Infinity Q Securities Settlement**

Dear «GENDER» «LastName»:

Please find enclosed the Notice of Pendency and Proposed Settlement of Class Action and Proof of Claim and Release for the above referenced litigation. Please note both the class period and the designated eligible securities described on page one of the Notice, specifically the inclusion of all persons or entities that either: (i) purchased or otherwise acquired investor shares (Ticker symbol IQDAX) and/or institutional shares (Ticker symbol IQDNX) in Infinity Q Diversified Alpha Fund (the "Diversified Fund") between February 22, 2016 and February 22, 2021, both dates inclusive (the "Class Period"); and/or (ii) invested through either the Infinity Q Volatility Alpha Fund, L.P., or the Infinity Q Volatility Alpha Offshore Fund, LTD. (collectively, the "Volatility Fund") during the Class Period. In addition, **the Notice provides that the Exclusion Deadline is January 10, 2023, and the Claim Filing Deadline is February 6, 2023.**

Please pay particular attention to the "Special Notice to Banks, Brokers, and Other Nominees" on page sixteen of the Notice which states, in part: If you hold eligible shares as a nominee for a beneficial owner, then, within 14 business days after you receive this Notice, you must either: (i) send a copy of this Notice by First-Class Mail to all such Persons; or (ii) provide a list of the names and addresses of such Persons to the Claims Administrator at notifications@gilardi.com or: Infinity Q Securities Settlement, Claims Administrator, c/o Gilardi & Co. LLC, P.O. Box 8040, San Rafael, CA 94912-8040. If you choose to mail the Notice and Proof of Claim yourself, you may obtain from the Claims Administrator (without cost to you) as many additional copies of these documents as you will need to complete the mailing.

Please do not make your own copies of the Proof of Claim Form, as copies may not be accepted for processing. Additional copies of the appropriate documents may be requested by contacting us at the above address and/or phone number. If we conduct the necessary mailing on your behalf, please submit names and addresses either via email to Notifications@Gilardi.com, via CD Rom to the above address or contact us to obtain secure FTP transmission instructions. Mailing labels will be accepted, but you may be requested to provide an additional copy of the address information you send. Do not include any confidential information that should not appear on a mailing label.

The data provided must be in one of the following formats:

- ASCII Fixed Length file
- ASCII Tab Delimited file
- Microsoft Excel spreadsheet

Your request must also specify the case name and Control Total(s) (for example, the total number of name and address records provided) for each file submission. If you have any questions, please email Notifications@Gilardi.com.

Sincerely,

Gilardi and Company, LLC

EXHIBIT C

BIGGEST 1,000 STOCKS

How to Read the Stock Tables

The following explanations apply to NYSE, NYSE Arca, NYSE American and Nasdaq Stock Market listed securities. Prices are composite quotations that include primary market trades as well as trades reported by Nasdaq BX (formerly Boston), Chicago Stock Exchange, Cboe, NYSE National and Nasdaq ISE.
The list comprises the 1,000 largest companies based on market capitalization.
Underlined quotations are those stocks with large changes in volume compared with the issue's average trading volume.
Boldfaced quotations highlight those issues whose price changed by 5% or more if their previous closing price was $2 or higher.

Footnotes:
▲-New 52-week high.
▼-New 52-week low.
dd-Indicates loss in the most recent four quarters.
FD-First day of trading.
h-Does not meet continued listing standards
lf-Late filing
q-Temporary exemption from Nasdaq requirements.
t-NYSE bankruptcy
v-Trading halted on primary market.
vj-In bankruptcy or receivership or being reorganized under the Bankruptcy Code, or securities assumed by such companies.

Wall Street Journal stock tables reflect composite regular trading as of 4 p.m. and changes in the closing prices from 4 p.m. the previous day.

Wednesday, November 16, 2022

A B C

Stock	Sym	Close	Net Chg
ABB	ABB	30.82	-0.49
ADT	ADT	9.22	-0.03
AECOM	ACM	79.32	-0.49
AES	AES	27.96	0.18
▲Aflac	AFL	70.81	0.58
AGCO	AGCO	123.10	-1.34
Ansys	ANSS	252.92	-1.29
APA	APA	47.43	-1.61
ASETech	ASX	6.18	-0.18
ASML	ASML	576.70	-20.77
AT&T	T	18.93	-0.09
AbbottLabs	ABT	103.61	0.47
AbbVie	ABBV	151.87	-1.17
Abiomed	ABMD	374.40	0.20
AcadiaHealthcare	ACHC	81.41	0.25
Accenture	ACN	291.51	-1.14
ActivisionBliz	ATVI	74.35	0.47
Adobe	ADBE	338.41	-7.55
▼**AdvanceAuto**	**AAP**	**156.24**	**-27.70**
AdvDrainageSys	WMS	86.76	-2.22
AdvMicroDevices	AMD	72.70	-3.67
Aegon	AEG	4.81	0.09
AerCap	AER	58.10	-0.31
AgilentTechs	A	147.12	-0.88
agilon health	AGL	17.93	-0.86
AgnicoEagle	AEM	47.76	-0.34
AirProducts	APD	295.12	0.58
Airbnb	ABNB	104.43	-2.58
AkamaiTech	AKAM	90.82	-1.64
Albemarle	ALB	286.77	-9.09
Albertsons	ACI	20.88	0.15
Alcoa	AA	48.67	-0.54
Alcon	ALC	66.33	2.96
AlexandriaRlEst	ARE	147.38	-2.44
Alibaba	BABA	78.16	-1.14
AlignTech	**ALGN**	**201.39**	**-11.53**
Allegion	ALLE	111.05	-1.59
AlliantEnergy	LNT	54.51	0.88
Allstate	ALL	132.31	0.28
AllyFinancial	ALLY	27.05	-0.24
AlnylamPharm	ALNY	211.99	-2.30
Alphabet A	GOOGL	98.85	0.41
Alphabet C	GOOG	98.99	0.27
Altria	MO	43.58	-0.08
Amazon.com	AMZN	97.12	-1.82
Ambev	**ABEV**	**2.83**	**-0.17**
Amcor	AMCR	11.69	-0.08
Amdocs	DOX	83.46	1.04
Amerco	**UHAL**	**54.82**	**2.75**
Ameren	AEE	84.48	1.06
AmericaMovil A	AMOV	19.60	-0.14
AmericaMovil	AMX	19.86	-0.14
AmerAirlines	AAL	14.09	-0.52
AEP	AEP	89.74	0.52
AmerExpress	AXP	152.57	-1.32
AmericanFin	AFG	140.19	1.54
AmHomes4Rent	AMH	31.48	-0.38
AIG	AIG	59.87	-0.67
AmerTowerREIT	AMT	219.15	-0.43
AmerWaterWorks	AWK	147.05	1.03
AmericoldRealty	COLD	28.95	-0.14
Ameriprise	AMP	320.72	-2.70
AmerisourceBrgn	ABC	155.80	3.02
Ametek	AME	138.57	-0.01
Amgen	AMGN	283.77	2.11
AmkorTech	AMKR	27.95	-0.52
Amphenol	APH	79.10	-0.60
AnalogDevices	ADI	161.27	-3.90
AngloGoldAsh	AU	17.54	-0.21
AB InBev	BUD	55.04	0.29
AnnalyCap	NLY	20.46	-0.55
AnteroResources	**AR**	**35.44**	**-1.90**
Aon	AON	293.46	1.57
ApolloGlbMgmt	APO	62.36	-1.78
Apple	AAPL	148.79	-1.25
ApplMaterials	**AMAT**	**104.22**	**-6.24**
Aptargroup	ATR	104.92	0.23
Aptiv	APTV	108.89	-2.26
Aramark	ARMK	40.50	-0.05
ArcelorMittal	MT	25.96	-0.89
ArchCapital	ACGL	56.52	0.31
ArcherDaniels	ADM	95.19	0.66
AresMgmt	ARES	78.93	-1.33
arGEN-X	ARGX	365.10	-5.05
AristaNetworks	ANET	130.69	-1.96
ArrowElec	ARW	109.38	-1.93
AspenTech	AZPN	246.56	-1.68
Assurant	AIZ	125.76	1.14
AstraZeneca	AZN	63.80	-0.15
Atlassian	**TEAM**	**129.27**	**-11.91**
AtmosEnergy	ATO	112.30	0.72
Autodesk	ADSK	221.14	-11.16
Autoliv	ALV	86.18	-2.03
ADP	ADP	253.62	0.30
AutoZone	AZO	2450.51	24.91
Avalonbay	AVB	166.08	-2.89
Avangrid	AGR	40.82	-0.10
Avantor	AVTR	20.53	-0.65
AveryDennison	AVY	186.55	1.27
AvisBudget	CAR	236.12	-0.86
▲AxonEnterprise	AXON	191.04	3.08
BCE	BCE	46.77	0.12
BHP Group	BHP	58.68	-1.01
BJ'sWholesale	BJ	78.36	-0.11
BOK Fin	BOKF	103.24	-1.53
BP	BP	33.97	0.06
Baidu	BIDU	94.75	-4.95
BakerHughes	BKR	30.23	-0.66
Ball	BALL	54.74	-0.75
BancoBilbaoViz	BBVA	5.53	...
BancoBradesco	BBDO	2.43	-0.02
BancodeChile	BCH	18.48	-0.27
BancSanBrasil	BSBR	5.14	-0.18
BcoSantChile	BSAC	14.66	-0.45
BancoSantander	SAN	2.66	-0.02
BanColombia	CIB	25.45	-0.59
BankofAmerica	BAC	37.36	-0.34
BankofMontreal	BMO	98.85	-0.76
BankNY Mellon	BK	43.81	-0.02
BkNovaScotia	BNS	51.62	-0.73
Barclays	BCS	7.42	-0.08
BarrickGold	GOLD	15.79	-0.21
Bath&BodyWks	**BBWI**	**31.33**	**-2.59**
BaxterIntl	BAX	54.94	0.60
BectonDicknsn	BDX	222.08	-0.29
BeiGene	BGNE	205.77	-1.94
BentleySystems	BSY	39.40	-0.53
Berkley	WRB	71.76	2.65
BerkHathwy B	BRK.B	308.76	-1.22
BerkHathwy A	BRK.A	465520	-2712.75
BerryGlobal	BERY	53.00	0.05
BestBuy	**BBY**	**69.12**	**-6.48**
Bill.com	BILL	124.75	-5.10
Bio-Techne	TECH	348.48	-3.81
Bio-RadLab A	BIO	408.06	-13.05
Bio-RadLab B	BIO.B	409.99	-17.71
Biogen	BIIB	300.01	0.23
BioMarinPharm	BMRN	85.84	-0.34
BioNTech	BNTX	164.90	1.17
BlackKnight	BKI	59.64	-0.02
BlackRock	BLK	727.03	-13.28
Blackstone	BX	94.04	-3.57
Block	**SQ**	**69.55**	**-3.83**
BlueOwlCapital	OWL	12.15	-0.45
Boeing	BA	172.69	-2.66
BookingHldgs	BKNG	2013.78	11.88
BoozAllen	BAH	106.71	2.41
BorgWarner	BWA	41.45	-1.34
BostonProps	BXP	71.17	-3.22
BostonSci	BSX	42.98	0.56
BristolMyers	BMY	76.15	-0.13
BritishAmTob	BTI	38.89	0.39
BrixmorProp	BRX	22.14	-0.28
Broadcom	AVGO	511.73	-12.69
BroadridgeFinl	BR	142.96	0.36
BrookfieldMgt	BAM	45.82	-0.56
BrookfieldInfr	BIP	37.40	-0.45
BrookfieldRenew	BEPC	32.13	-0.14
Brown&Brown	BRO	56.85	1.05
Brown-Forman A	BF.A	70.05	0.13
Brown-Forman B	BF.B	69.56	0.31
Bruker	BRKR	68.33	-0.53
BuildersFirst	BLDR	63.12	-0.94
Bunge	BG	99.41	-0.35
BurlingtonStrs	BURL	149.56	-7.07
CACI Intl	CACI	299.68	9.95
CBRE Group	CBRE	75.66	0.10
CDW	CDW	182.88	-1.95
CF Industries	CF	102.70	-4.21
CGI	GIB	84.72	0.14
CH Robinson	CHRW	92.80	-4.88
CME Group	CME	174.89	1.10
CMS Energy	CMS	59.43	0.99
CNA Fin	CNA	41.46	0.18
CNH Indl	CNHI	15.05	-0.11
CRH	CRH	39.20	-0.52
CSX	CSX	31.24	-0.07
CVS Health	CVS	96.10	-1.63
CadenceDesign	CDNS	168.86	0.12
CAE	CAE	21.46	-0.50
CaesarsEnt	**CZR**	**50.73**	**-3.57**
CamdenProperty	CPT	114.31	-0.32
Cameco	CCJ	23.99	-0.76
CampbellSoup	CPB	50.71	1.90
CIBC	CM	47.47	-0.07
CanNtlRlwy	CNI	122.77	1.38
CanNaturalRes	CNQ	60.74	-1.60
CanPacRlwy	CP	77.57	2.15
Canon	CAJ	22.37	-0.06
CapitalOne	COF	101.76	-1.80
Capri	CPRI	52.57	-1.44
CardinalHealth	CAH	75.18	0.86
Carlisle	CSL	245.01	3.70
Carlyle	CG	29.26	-0.49
CarMax	**KMX**	**68.35**	**-4.31**
Carnival	**CCL**	**9.63**	**-1.53**
Carnival	**CUK**	**8.67**	**-1.37**
CarrierGlobal	CARR	43.86	-0.49
▲CaseysGenStores	CASY	240.59	4.18
Catalent	**CTLT**	**44.67**	**-4.24**
Caterpillar	CAT	232.42	-2.17
Celanese	CE	100.55	-2.25
CelsiusHldg	CELH	100.67	2.30
Cemex	CX	4.59	0.10
CenovusEnergy	CVE	21.05	-0.45
Centene	CNC	79.51	-0.16
CenterPointEner	CNP	29.44	0.49
CentraisElBras	EBR	8.05	-0.34
CeridianHCM	CDAY	69.86	-2.82
CharlesRiverLabs	CRL	239.39	-10.68
CharterComms	CHTR	388.91	-3.18
CheckPoint	CHKP	127.84	-1.21
Chemed	CHE	497.96	1.28
CheniereEnergy	LNG	167.61	2.24
CheniereEnerPtrs	CQP	56.08	1.36
ChesapeakeEner	CHK	99.57	-2.71
Chevron	CVX	184.99	-3.06
ChewyA	CHWY	42.24	-0.61
ChinaEastrnAir	CEA	18.22	-0.21
ChinaSoAirlines	ZNH	28.88	-0.98
Chipotle	CMG	1526.55	3.08
ChordEnergy	CHRD	157.37	-7.13
Chubb	CB	207.28	0.65
ChunghwaTel	CHT	34.63	-0.08
Church&Dwight	CHD	75.30	-0.21
ChurchillDowns	CHDN	223.65	1.04
Ciena	CIEN	44.13	-1.59
Cigna	CI	306.69	1.52
CincinnatiFin	CINF	105.86	-0.53
Cintas	CTAS	445.71	0.90
CiscoSystems	CSCO	44.39	-0.51
Citigroup	C	48.37	-0.66
CitizensFin	CFG	39.71	-0.75
Clarivate	CLVT	10.24	-0.28
ClearwayEnergyC	CWEN	35.66	0.47
ClearwayEnergyA	CWEN.A	33.00	0.57
Cleveland-Cliffs	CLF	15.29	-0.13
Clorox	CLX	142.84	-2.09
Cloudflare	**NET**	**52.68**	**-3.72**
Coca-Cola	KO	60.52	-0.11
Coca-ColaEuro	CCEP	51.39	-0.05
Cognex	CGNX	50.17	-1.04
CognizantTech	CTSH	59.41	-0.55
CoinbaseGlbl	**COIN**	**48.83**	**-6.70**
ColgatePalm	CL	76.01	0.69
Comcast A	CMCSA	33.98	-0.30
Comerica	CMA	71.55	-1.66
CommerceBcshrs	CBSH	71.99	-0.51
SABESP	SBS	10.53	-0.44
ConagraBrands	CAG	35.04	0.66
Confluent	**CFLT**	**22.49**	**-1.54**
ConocoPhillips	COP	129.24	-4.85
ConEd	ED	91.34	1.64
ConstBrands A	STZ	243.22	-0.23
ConstellationEner	CEG	91.68	-1.82
ContinentalRscs	CLR	74.12	0.05
Cooper	COO	310.35	-5.43
Copart	CPRT	62.04	-0.02
CorebridgeFin	CRBG	22.14	-0.11
Corning	GLW	33.48	-0.88
Corteva	CTVA	65.35	-1.37
CoStar	CSGP	82.47	-0.24
Costco	COST	524.11	-2.36
CoterraEnergy	CTRA	26.42	-1.39
Coupang	CPNG	19.08	-0.15
Credicorp	BAP	155.83	-1.17
CreditSuisse	CS	4.39	-0.12
CrowdStrike	CRWD	143.65	-4.65
CrownCastle	CCI	135.61	-0.39
CrownHoldings	CCK	79.12	0.71
CubeSmart	CUBE	40.37	0.20
Cullen/Frost	CFR	144.70	-1.02
Cummins	CMI	249.97	-0.74
Curtiss-Wright	CW	174.42	-0.73
CyberArkSoftware	**CYBR**	**153.18**	**-9.30**

D E F

Stock	Sym	Close	Net Chg
DCP Midstream	DCP	38.66	0.06
DISH Network	DISH	15.72	-0.32
DTE Energy	DTE	115.18	0.70
DXC Tech	DXC	28.45	-0.16
Danaher	DHR	266.16	-4.17
Darden	DRI	145.43	2.33
DarlingIngred	DAR	72.60	-2.80
Datadog	DDOG	82.44	-3.66
DeckersOutdoor	DECK	347.00	-0.27
Deere	DE	406.40	-0.57
DellTechC	DELL	41.18	-1.17
DeltaAir	DAL	34.12	-0.78
DentsplySirona	XRAY	30.60	-0.74
DeutscheBank	DB	10.53	-0.02
DevonEnergy	DVN	71.34	-2.28
DexCom	DXCM	114.89	-1.42
Diageo	DEO	175.52	1.86
DiamondbkEner	FANG	158.98	-5.79
Dick's	**DKS**	**99.03**	**-8.09**
DigitalRealty	DLR	109.85	-2.02
Dillard's	DDS	367.74	-15.29
DiscoverFinSvcs	DFS	106.12	2.49
Disney	DIS	93.95	-1.56
DocuSign	**DOCU**	**49.55**	**-3.77**
DolbyLab	DLB	70.12	-0.70
DollarGeneral	DG	256.19	-1.07
DollarTree	DLTR	166.30	-2.54
DominionEner	D	59.61	0.73
Domino's	DPZ	364.47	-2.27
Donaldson	DCI	60.39	0.08
DoorDash	DASH	60.78	-2.60
Dover	DOV	141.33	-0.83
Dow	DOW	50.51	-1.09
DrReddy'sLab	RDY	55.05	0.02
DraftKings	DKNG	14.86	-0.56
Dropbox	DBX	22.43	-0.47
DukeEnergy	DUK	97.94	0.81
DuPont	DD	69.13	-1.54
Dynatrace	DT	37.58	-1.01
▲EMCOR	EME	154.38	1.55
ENI	E	29.73	-0.32
EOG Rscs	EOG	143.45	-3.85
EPAM Systems	EPAM	349.56	-2.57
EQT	**EQT**	**41.49**	**-2.27**
EastWestBncp	EWBC	68.76	-2.90
EastGroup	EGP	157.31	0.31
EastmanChem	EMN	84.72	-2.15
Eaton	ETN	161.74	-0.65
eBay	EBAY	45.49	-1.53
Ecolab	ECL	149.80	-2.97
Ecopetrol	EC	9.93	-0.43
EdisonInt	EIX	61.03	0.36
EdwardsLife	EW	75.03	-0.93
ElbitSystems	ESLT	191.70	2.41
ElectronicArts	EA	129.34	0.56
ElevanceHealth	ELV	476.14	-2.87
EmersonElec	EMR	95.23	-0.95
Enbridge	ENB	40.43	0.06
Endeavor	EDR	21.68	-0.55
EnergyTransfer	ET	12.11	0.07
EnphaseEnergy	ENPH	305.18	4.45
Entegris	**ENTG**	**72.83**	**-7.46**
Entergy	ETR	110.13	0.60
EnterpriseProd	EPD	25.01	0.12
Equifax	EFX	203.16	-3.94
Equinix	EQIX	647.89	-9.34
Equinor	EQNR	35.00	-1.09
Equitable	EQH	30.61	0.01
EquityLife	ELS	63.79	-0.76
EquityResdntl	EQR	61.55	-0.75
ErieIndemnity A	ERIE	264.02	3.83
EssentialUtil	WTRG	47.20	-0.11
EssexProp	ESS	210.02	-2.16
EsteeLauder	EL	222.91	-4.07
Etsy	ETSY	119.72	-5.59
EverestRe	RE	317.40	1.19
Evergy	EVRG	59.45	-0.26
EversourceEner	ES	79.50	0.85
ExactSciences	EXAS	43.61	-0.67
Exelon	EXC	38.06	-0.18
Expedia	EXPE	97.45	-2.49
ExpeditorsIntl	EXPD	111.82	-3.84
ExtraSpaceSt	EXR	156.93	2.08
ExxonMobil	XOM	112.91	-1.22
F5	FFIV	148.28	-3.45
FMC	FMC	126.72	-2.01
FactSet	FDS	441.48	8.56
FairIsaac	FICO	617.87	1.38
Fastenal	FAST	51.76	0.13
FederalRealty	FRT	106.08	-1.66
FedEx	FDX	170.77	-5.49
Ferguson	FERG	116.54	-3.90
Ferrari	RACE	213.94	-1.50
FidNatlFin	FNF	39.85	-0.52
FidNatlInfo	FIS	61.92	-1.95
FifthThirdBncp	FITB	35.79	-0.66
FirstCitizBcshA	FCNCA	790.30	-30.15
FirstHorizon	FHN	24.30	-0.02
FirstIndRlty	FR	48.49	-0.14
FirstRepBank	FRC	125.67	-4.89
▲FirstSolar	FSLR	158.58	-1.05
FirstEnergy	FE	38.05	-0.10
Fiserv	FISV	99.98	-0.37
FiveBelow	**FIVE**	**149.77**	**-8.61**
FleetCorTech	FLT	192.24	-3.36
Flex	FLEX	19.79	-0.29
Floor&Decor	FND	77.77	-1.73
FomentoEconMex	FMX	77.78	-0.02
FordMotor	F	13.90	-0.40
Fortinet	FTNT	54.69	-1.51
Fortis	FTS	40.05	0.67
Fortive	FTV	67.05	0.31
FortBrandsHome	FBHS	65.72	-1.60
FoxA	FOXA	30.49	-0.26
FoxB	FOX	28.86	-0.13
Franco-Nevada	FNV	139.36	-1.28
FranklinRscs	BEN	26.44	-0.48
FreeportMcM	FCX	37.38	-0.71
FreseniusMed	FMS	15.24	-0.20
FullTruck	**YMM**	**6.91**	**-0.44**
Futu	**FUTU**	**50.35**	**-3.51**

G H I

Stock	Sym	Close	Net Chg
GFLEnvironmental	GFL	27.26	0.24
GSK	GSK	32.91	0.62
Gallagher	AJG	189.51	1.73
GameStop	GME	27.14	-0.45
Gaming&Leisure	GLPI	49.48	0.16
Garmin	GRMN	90.35	-2.18
Gartner	IT	335.95	-2.49
GenDigital	GEN	22.61	0.37
Generac	GNRC	103.80	-3.90
GeneralDynamics	GD	244.87	-1.27
GeneralElec	GE	84.90	-2.24
GeneralMills	GIS	79.06	1.62
GeneralMotors	GM	38.47	-1.77
▲Genmab	GMAB	43.50	0.40
Genpact	G	44.01	-0.47
Gentex	GNTX	28.56	-0.45
GenuineParts	GPC	179.90	-1.53
Gerdau	GGB	5.71	-0.22
GileadSciences	GILD	82.88	-0.12
GitLab	**GTLB**	**43.79**	**-3.85**
GlobalPayments	GPN	99.32	-2.96
GlobalFoundries	GFS	64.24	-2.34
Globant	GLOB	188.29	-4.84
GlobeLife	GL	112.66	0.02
GlobusMedical	GMED	70.40	-1.38
GoDaddy	GDDY	73.95	-1.00
GoldFields	GFI	10.83	-0.06
GoldmanSachs	GS	382.33	-0.55
Grab	GRAB	3.15	0.02
Graco	GGG	69.94	-0.71
Grainger	GWW	588.89	7.08
GraphicPkg	GPK	22.46	0.20
GpoAeroportuar	PAC	165.40	-3.85
GpoAeroportSur	ASR	245.23	-0.73
HCA Healthcare	HCA	231.00	1.95
HDFC Bank	HDB	68.37	-1.06
HF Sinclair	DINO	64.51	-1.03
HP	HPQ	29.29	-1.27
HSBC	HSBC	28.27	0.02
H World	HTHT	37.91	0.19
Haleon	HLN	6.80	0.11
Halliburton	HAL	37.85	-1.06
HalozymeTherap	HALO	53.27	-0.75
HarleyDavidson	HOG	46.77	-0.63
HartfordFinl	HIG	72.89	0.01
▼Hasbro	HAS	55.97	-2.74
HealthcareRealty	HR	19.42	-0.43
HealthpeakProp	PEAK	24.57	-0.63
Heico	HEI	160.10	0.71
Heico A	HEI.A	124.89	0.24
HenrySchein	HSIC	79.12	-0.23
Hershey	HSY	223.15	5.84
Hess	HES	146.70	-2.74
HessMidstream	HESM	28.50	-0.10
HewlettPackard	HPE	15.23	-0.28
Hilton	HLT	138.38	-0.16
Hologic	HOLX	74.30	-0.31
HomeDepot	HD	314.91	2.98
HondaMotor	HMC	23.54	-0.25
Honeywell	HON	214.00	-1.43
HorizonTherap	HZNP	75.67	-0.38
HormelFoods	HRL	47.99	0.85
DR Horton	DHI	83.12	-1.05
HostHotels	HST	18.28	-0.28
HoulihanLokey	HLI	99.59	-1.99
HowmetAerospace	HWM	36.84	0.29
Hubbell	HUBB	247.89	-1.75
HubSpot	HUBS	295.11	-12.91
Humana	HUM	517.60	4.70
JBHunt	JBHT	180.41	-8.76
HuntingtonBcshs	HBAN	14.90	-0.20
HuntingIngalls	HII	223.94	0.73
HyattHotels	H	94.13	1.69
ICICI Bank	IBN	22.76	-0.29
ICL Group	ICL	8.20	-0.14
IdexxLab	IDXX	426.08	-8.30
ING Groep	ING	11.59	0.05
Invesco	IVZ	18.80	-0.53
IQVIA	IQV	224.02	-0.29
ITT	ITT	84.12	-0.02
IcahnEnterprises	IEP	53.91	-0.19
Icon	ICLR	222.35	-2.45
IDEX	IEX	232.74	1.12
IllinoisToolWks	ITW	227.65	-0.21
Illumina	ILMN	231.79	-6.70
ImperialOil	IMO	56.62	-0.58
Incyte	INCY	76.71	0.09
Infosys	INFY	19.69	-0.07
IngersollRand	IR	54.99	-0.52
Insulet	PODD	299.24	-6.43
Intel	INTC	29.53	-1.18
InteractiveBrkrs	IBKR	74.51	-1.74
ICE	ICE	104.02	-1.03
InterContinentl	IHG	56.84	0.10
IBM	IBM	144.52	0.18
IntlFlavors	IFF	98.42	0.51
IntlPaper	IP	35.78	-0.73
Interpublic	IPG	32.54	-0.38
Intuit	INTU	393.66	-13.36
IntuitiveSurgical	ISRG	263.04	-1.14
InvitatHomes	INVH	31.18	-0.49
IronMountain	IRM	51.71	-0.87
ItauUnibanco	ITUB	4.84	-0.16

J K L

Stock	Sym	Close	Net Chg
JD.com	JD	53.49	-0.99
JPMorganChase	JPM	133.12	0.18
Jabil	JBL	66.67	-0.64
JackHenry	JKHY	180.64	-0.56
JacobsSolns	J	124.88	0.08
JamesHardie	JHX	19.38	-0.63
JazzPharm	JAZZ	142.74	-5.70
JefferiesFin	JEF	37.18	-0.49
J&J	JNJ	173.46	1.07
JohnsonControls	JCI	65.82	-0.58
JonesLang	JLL	161.02	0.33
JuniperNetworks	JNPR	29.93	-0.53
KB Financial	KB	37.18	-0.45
KBR	KBR	49.88	0.71
KE Holdings	BEKE	14.84	-0.09
KKR	KKR	53.14	-1.03
KLA	KLAC	370.42	-15.13
Kanzhun	**BZ**	**16.17**	**-1.02**
KarunaTherap	KRTX	230.10	-0.67
Kellogg	K	70.38	1.03
KeurigDrPepper	KDP	36.69	0.10
KeyCorp	KEY	18.93	-0.24
KeysightTechs	KEYS	166.57	-5.91
KilroyRealty	KRC	40.81	-1.73
KimberlyClark	KMB	129.01	0.87
KimcoRealty	KIM	21.73	-0.36
KinderMorgan	KMI	18.41	-0.25
KinsaleCapital	KNSL	296.47	10.37
Knight-Swift	KNX	53.91	-2.71
Philips	PHG	14.61	-0.25
KoreaElcPwr	KEP	7.36	-0.04
KraftHeinz	KHC	37.69	0.65
Kroger	KR	47.83	0.96
LKQ	LKQ	54.31	-0.07
LPL Financial	**LPLA**	**218.42**	**-16.50**
L3HarrisTech	LHX	221.50	0.26
LabCorp.ofAmerica	LH	243.80	-1.26
LamResearch	**LRCX**	**455.38**	**-35.36**
LamarAdv	LAMR	96.19	0.47
LambWeston	LW	82.71	1.42
LasVegasSands	LVS	43.91	-0.02
LatticeSemi	**LSCC**	**65.93**	**-3.93**
Lear	LEA	141.39	-6.87
LegendBiotech	LEGN	51.65	-0.85
Leidos	LDOS	106.01	1.32
Lennar B	LEN.B	71.11	-0.66
Lennar A	LEN	86.45	-1.30
LennoxIntl	LII	266.68	-4.66
LiAuto	**LI**	**17.77**	**-1.17**
LibertyBroadbandC	LBRDK	89.64	-0.92
LibertyBroadbandA	LBRDA	89.53	-1.16
LibertyGlobal A	LBTYA	19.84	-0.38
LibertyGlobal C	LBTYK	20.43	-0.41
LibertyGlobal B	**LBTYB**	**19.83**	**1.43**
LibertyFormOne A	FWONA	51.22	-0.13
LibertyFormOne C	FWONK	57.04	0.11
LibertyBraves A	BATRA	31.45	0.10
LibertyBraves C	BATRK	30.89	0.02
LibertySirius C	LSXMK	44.27	-0.15
LibertySirius A	LSXMA	44.31	-0.19
LibertySirius B	LSXMB	46.01	-1.29
LifeStorage	LSI	106.30	0.05
EliLilly	LLY	352.93	2.98
LincolnElectric	LECO	148.41	-0.01
Linde	LIN	333.73	1.17
LithiaMotors	LAD	237.00	-3.43
LiveNationEnt	LYV	74.01	-0.27
LloydsBanking	LYG	2.03	-0.02
LockheedMartin	LMT	466.24	-1.01
Loews	L	55.63	0.05
LogitechIntl	LOGI	59.37	-2.65
Lowe's	LOW	215.13	6.29
Lucid	**LCID**	**11.37**	**-0.99**
lululemon	LULU	355.76	-3.80
LyondellBasell	LYB	82.69	-2.59

M N

Stock	Sym	Close	Net Chg
M&T Bank	MTB	168.69	-1.23
MGM Resorts	MGM	37.02	-0.47
MPLX	MPLX	32.91	0.05
MSCI	MSCI	507.21	4.19
MagellanMid	MMP	51.95	-0.11
MagnaIntl	MGA	62.06	-1.36
ManhattanAssoc	MANH	124.27	-4.00
ManulifeFin	MFC	17.48	-0.18
MarathonOil	MRO	31.45	-1.49
MarathonPetrol	MPC	119.86	-0.14
Markel	MKL	1265.63	7.60
MarketAxess	MKTX	262.24	-2.46
Marriott	MAR	161.60	-0.17
Marsh&McLen	MMC	165.51	1.89
MartinMarietta	MLM	355.85	1.70
MarvellTech	**MRVL**	**42.25**	**-2.45**
Masco	MAS	50.34	-0.60
Masimo	MASI	132.45	5.87
MasTec	MTZ	91.79	-0.30
Mastercard	MA	342.76	-0.75
MatadorRscs	MTDR	69.08	-2.22
MatchGroup	MTCH	49.34	-2.58
McCormickVtg	MKC.V	84.14	0.09
McCormick	MKC	83.65	...
McDonald's	MCD	272.51	4.67
McKesson	MCK	363.20	9.52
MedicalProp	MPW	12.30	-0.31
Medpace	MEDP	225.27	-1.30
Medtronic	MDT	81.78	-1.62
MercadoLibre	MELI	972.82	-47.86
Merck	MRK	99.93	0.33
MetaPlatforms	META	113.23	-3.85
MetLife	MET	74.36	0.08
MettlerToledo	MTD	1395.75	-12.28
MicrochipTech	MCHP	73.64	-3.30
MicronTech	**MU**	**58.87**	**-4.23**
Microsoft	MSFT	241.73	0.44
MidAmApt	MAA	156.98	0.33
Middleby	MIDD	139.84	-0.20
MitsubishiUFJ	MUFG	5.10	-0.03
MizuhoFin	MFG	2.26	...
Mobileye	MBLY	28.81	-1.10
Moderna	MRNA	185.25	-0.22
MohawkInds	MHK	102.46	-3.81
MolinaHealthcare	MOH	312.82	0.79
MolsonCoorsA	TAP.A	78.60	-0.90
MolsonCoorsB	TAP	52.11	0.03
Mondelez	MDLZ	65.00	0.35
MongoDB	MDB	174.69	-7.41
MonolithicPower	**MPWR**	**375.38**	**-29.65**
MonsterBev	MNST	99.19	1.05
Moody's	MCO	296.74	-2.00
MorganStanley	MS	89.82	-0.68
Morningstar	MORN	245.20	0.15
Mosaic	MOS	50.17	-2.42
MotorolaSol	MSI	255.76	5.35
MurphyOil	MUR	48.09	-1.38
NICE	NICE	202.65	-0.21
NIO	**NIO**	**10.53**	**-0.98**
NOV	NOV	23.13	-0.54
NRG Energy	NRG	42.96	-0.50
NVR	NVR	4470.97	-40.02
NXP Semi	NXPI	166.88	-7.93
Nasdaq	NDAQ	65.36	0.57
NationalGrid	NGG	60.03	0.73
NatlRetailProp	NNN	44.90	-0.17
NatWest	NWG	5.92	-0.03
NetApp	NTAP	72.33	-1.63
NetEase	NTES	71.33	-0.27
Netflix	NFLX	306.02	-4.18
Neurocrine	NBIX	112.67	-2.10
NewFortressEner	NFE	49.44	0.13
Newmont	NEM	45.49	-0.20
NewsCorp A	NWSA	17.91	-0.24
NewsCorp B	NWS	18.21	-0.20
NextEraEnergy	NEE	84.32	1.41
Nike	NKE	105.23	-1.48
NiSource	NI	26.00	0.32
Nokia	NOK	4.71	-0.09
NomuraHoldings	NMR	3.53	-0.08
Nordson	NDSN	232.00	-3.00
NorfolkSouthern	NSC	249.72	1.58
NorthernTrust	NTRS	91.00	-0.99
NorthropGrum	NOC	505.13	4.41
NorwegCruise	NCLH	17.59	-0.71
Novartis	NVS	83.55	-0.28
NovoNordisk	NVO	113.37	-0.27
Novocure	NVCR	81.99	-2.01
NuHoldings	NU	4.78	-0.13
Nucor	NUE	141.60	-2.45
Nutanix	NTNX	28.62	-0.44
Nutrien	NTR	77.73	-1.79
NVIDIA	NVDA	159.10	-7.56

O P Q

Stock	Sym	Close	Net Chg
OGE Energy	OGE	38.77	0.51
ONEOK	OKE	64.71	-0.54
OReillyAuto	ORLY	833.98	8.86
OccidentalPetrol	OXY	71.93	-2.50
Okta	**OKTA**	**50.42**	**-3.00**
OldDomFreight	ODFL	307.17	-10.92
OldRepublic	ORI	23.77	0.07
Olin	OLN	56.43	-2.10
OmegaHealthcare	OHI	29.99	-1.20
Omnicom	OMC	76.18	-0.82
ON Semi	**ON**	**70.29**	**-4.01**
OpenText	OTEX	29.57	0.12
Oracle	ORCL	79.89	0.71
Orange	ORAN	10.08	...
Orix	IX	74.68	-0.81
OtisWorldwide	OTIS	78.40	-0.31
Ovintiv	**OVV**	**55.20**	**-3.28**
OwensCorning	OC	90.62	-0.92
PDC Energy	PDCE	78.34	-2.14
PG&E	PCG	14.73	0.29
PNC Fin	PNC	161.65	-2.49
POSCO	PKX	53.75	-1.34
PPG Ind	PPG	128.99	-0.51
PPL	PPL	27.90	0.41
PTC	PTC	129.83	-2.18
▲Paccar	PCAR	103.40	0.62
PackagingCpAm	PKG	129.87	-0.31
PalantirTech	PLTR	8.06	-0.28
PaloAltoNtwks	PANW	159.09	-3.29
ParamountB	**PARA**	**18.14**	**-1.30**
ParamountA	**PARAA**	**21.07**	**-1.40**
ParkerHannifin	PH	309.37	-1.69
Paychex	PAYX	120.49	-1.18
PaycomSoftware	PAYC	337.69	-9.50
Paylocity	PCTY	218.82	-8.10
PayPal	PYPL	87.04	-2.82
Pearson	PSO	11.50	0.14
PembinaPipeline	PBA	35.33	0.15
PenskeAuto	PAG	123.98	-2.16
Pentair	PNR	45.55	-1.16
Penumbra	PEN	197.75	1.76
PepsiCo	PEP	178.33	1.14
PerformanceFood	PFGC	58.69	0.60
PerkinElmer	PKI	139.14	-3.14
PetroleoBrasil	PBR	11.58	-0.39
PetroleoBrasilA	PBR.A	10.14	-0.42
Pfizer	PFE	48.05	-0.52
PhilipMorris	PM	94.71	0.04
Phillips66	PSX	107.68	-2.46
Pinduoduo	PDD	67.56	-3.41
PinnacleFinPtrs	PNFP	82.61	-3.18
PinnacleWest	PNW	73.30	-0.58
Pinterest	PINS	25.36	-0.93
PioneerNatRscs	PXD	254.11	-8.28
PlainsAllAmPipe	PAA	12.19	-0.12
PlainsGP	PAGP	12.77	-0.16
PlanetFitness	PLNT	74.95	-1.76
PlugPower	PLUG	16.71	-0.85
PolestarAuto	PSNY	5.83	-0.03
Pool	POOL	337.45	-8.80
PrincipalFin	PFG	92.91	-0.42
ProcoreTech	**PCOR**	**51.64**	**-6.16**
Procter&Gamble	PG	141.74	1.25

Continued on Page B9

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CLASS ACTION

SUPREME COURT OF THE STATE OF NEW YORK
COUNTY OF NEW YORK: COMMERCIAL DIVISION

In re INFINITY Q DIVERSIFIED ALPHA FUND SECURITIES LITIGATION This Document Relates To: The Consolidated Action	Index No. 651295/2021 CLASS ACTION Part 53: Justice Andrew S. Borrok
DOMINUS MULTIMANAGER FUND, LTD., Individually and on Behalf of All Others Similarly Situated, Plaintiff, v. INFINITY Q CAPITAL MANAGEMENT LLC, et al., Defendants.	Index No. 652906/2022 CLASS ACTION Part 53: Justice Andrew S. Borrok

SUMMARY NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION

TO: ALL PERSONS OR ENTITIES THAT EITHER: (I) PURCHASED OR OTHERWISE ACQUIRED INVESTOR SHARES (TICKER SYMBOL IQDAX) AND/OR INSTITUTIONAL SHARES (TICKER SYMBOL IQDNX) IN INFINITY Q DIVERSIFIED ALPHA FUND BETWEEN FEBRUARY 22, 2016 AND FEBRUARY 22, 2021, BOTH DATES INCLUSIVE (THE "CLASS PERIOD"); AND/OR (II) INVESTED THROUGH EITHER THE INFINITY Q VOLATILITY ALPHA FUND, L.P., OR THE INFINITY Q VOLATILITY ALPHA OFFSHORE FUND, LTD. DURING THE CLASS PERIOD

THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.

YOU ARE HEREBY NOTIFIED that a hearing will be held on January 31, 2023, at 2:00 p.m., before the Honorable Andrew S. Borrok, J.S.C., Supreme Court of New York, County of New York: Commercial Division, 60 Centre Street, Courtroom 238, New York, NY 10007, to determine whether: (1) the proposed settlement of the above-captioned consolidated actions (the "State Action"), along with *In re Infinity Q Diversified Alpha Fund and Infinity Q Volatility Alpha Fund Securities Litigation* (formerly known as *Yang v. Trust for Advised Portfolios, et al.*), Case No. 1:21-cv-01047-FB-MMH (E.D.N.Y.), pending in the United States District Court for the Eastern District of New York (the "Federal Action") (the State Action and Federal Action together referred to as, the "Litigation"), as set forth in the Amended Stipulation of Settlement ("Stipulation") dated September 7, 2022, for up to $48,000,000 in cash should be approved by the Court as fair, reasonable, and adequate, including consideration of any objections or opt-outs submitted by Class Members; (2) the Judgment, as provided under the Stipulation, should be entered; (3) to award Plaintiffs' Counsel attorneys' fees and expenses out of the Settlement Fund (as defined in the Notice of Pendency and Proposed Settlement of Class Action ("Notice"), as discussed below), and, if so, in what amount; (4) to award Lead Plaintiffs for representing the Class out of the Settlement Fund and, if so, in what amount; (5) the Plan of Allocation should be approved by the Court as fair, reasonable, and adequate; and (6) to consider any opt-outs or objections received by the Court.

The Litigation collectively refers to the State Action and the Federal Action brought on behalf of persons who invested in the Infinity Q Diversified Alpha Fund, the Infinity Q Volatility Alpha Fund, L.P., or the Infinity Q Volatility Alpha Offshore Fund, Ltd. (the "Funds") during the Class Period, against parties associated with the Funds for allegedly misstating and omitting material facts from the Registration Statements and Prospectuses filed with the U.S. Securities and Exchange Commission and in statements made by certain Defendants during the Class Period. Lead Plaintiffs allege that these purportedly false and misleading statements resulted in overstated valuations of the assets held by the Funds, and that the Class Members suffered damages when the truth was revealed. Defendants deny all of Lead Plaintiffs' allegations.

IF YOU INVESTED IN THE INFINITY Q DIVERSIFIED ALPHA FUND, THE INFINITY Q VOLATILITY ALPHA FUND, L.P., OR THE INFINITY Q VOLATILITY ALPHA OFFSHORE FUND, LTD. BETWEEN FEBRUARY 22, 2016 AND FEBRUARY 22, 2021, YOUR RIGHTS MAY BE AFFECTED BY THE SETTLEMENT OF THE LITIGATION.

To share in the distribution of the Settlement Fund, you must establish your rights by submitting a Proof of Claim and Release form ("Proof of Claim") by mail **(postmarked no later than February 6, 2023)** or electronically **(no later than 11:59 p.m. on February 6, 2023 at www.InfinityQSecuritiesSettlement.com)**. Your failure to submit your Proof of Claim by February 6, 2023, will subject your claim to rejection and preclude your receiving any of the recovery in connection with the Settlement of the Litigation. If you are a Member of the Class and do not request exclusion therefrom, you will be bound by the Settlement and any judgment and release entered in the Litigation, including, but not limited to, the Judgment, whether or not you submit a Proof of Claim.

If you have not received a copy of the Notice, which more completely describes the Settlement and your rights thereunder (including your right to object to the Settlement), and a Proof of Claim, you may obtain these documents, as well as a copy of the Stipulation and other settlement documents, online at www.InfinityQSecuritiesSettlement.com, or by writing to:

Infinity Q Securities Settlement
Claims Administrator
c/o Gilardi & Co. LLC
P.O. Box 8040
San Rafael, CA 94912-8040

Inquiries should NOT be directed to the Defendants, Court, or Clerk of the Court. Inquiries, other than requests for the Notice or a Proof of Claim, may be made to Lead Counsel:

SCOTT+SCOTT
ATTORNEYS AT LAW LLP
Thomas Laughlin
The Helmsley Building
230 Park Avenue, 17th Floor
New York, NY 10169
(212) 223-6444

THE ROSEN LAW FIRM, P.A.
Phillip Kim
275 Madison Avenue, 40th Floor
New York, NY 10016
(212) 686-1060

Lead Counsel in the State Action

ROBBINS GELLER
RUDMAN & DOWD LLP
Samuel Rudman
58 South Service Road, Suite 200
Melville, NY 11747
(631) 367-7100

Lead Counsel in the Federal Action

IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A REQUEST FOR EXCLUSION SUCH THAT IT IS **POSTMARKED BY JANUARY 10, 2023**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL MEMBERS OF THE CLASS WHO HAVE NOT REQUESTED EXCLUSION FROM THE CLASS WILL BE BOUND BY THE SETTLEMENT EVEN IF THEY DO NOT SUBMIT A TIMELY PROOF OF CLAIM.

IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE SETTLEMENT, PLAN OF ALLOCATION, REQUEST BY PLAINTIFFS' COUNSEL FOR AN AWARD OF ATTORNEYS' FEES AND EXPENSES, AND/OR AWARD TO LEAD PLAINTIFFS FOR REPRESENTING THE CLASS. ANY OBJECTION MUST BE FILED WITH THE COURT AND MAILED TO LEAD COUNSEL AND DEFENDANTS' COUNSEL SUCH THAT IT IS POSTMARKED, **BY JANUARY 10, 2023**, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE.

DATED: October 17, 2022

BY ORDER OF THE SUPREME COURT OF NEW YORK,
COUNTY OF NEW YORK: COMMERCIAL DIVISION
THE HONORABLE ANDREW S. BORROK, J.S.C.

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IPO Scorecard

Performance of IPOs, most-recent listed first

Company SYMBOL IPO date/Offer price	Wed's close ($)	% Chg From Offer price	% Chg From 1st-day close
Acrivon Thera **ACRV** Nov. 15/$12.50	16.75	**34.0**	0.7
Atour Lifestyle Hldgs **ATAT** Nov. 11/$11.00	11.39	**3.5**	–11.6
ASP Isotopes **ASPI** Nov. 10/$4.00	2.31	**–42.4**	–13.7
Plutonian Acquisition **PLTNU** Nov. 10/$10.00	10.08	**0.8**	0.5
Snail **SNAL** Nov. 10/$5.00	3.09	**–38.2**	37.3
Mobileye Global **MBLY** Oct. 26/$21.00	28.81	**37.2**	–0.6
Prime Medicine **PRME** Oct. 20/$17.00	18.22	**7.2**	18.5
Hudson Acquisition I **HUDAU** Oct. 14/$10.00	10.15	**1.5**	1.4
TenX Keane Acquisition **TENKU** Oct. 14/$10.00	10.08	**0.8**	0.5
Spring Valley Acquisition II **SVIIU** Oct. 13/$10.00	10.34	**3.4**	2.4

Sources: Dow Jones Market Data; FactSet

Dividend Changes

Company	Symbol	Yld %	Amount New/Old	Frq	Payable / Record
Increased					
Middlefield Banc	MBCN	2.7	.20 /.17	Q	Dec15 /Dec02
Oaktree Spec Lending	OCSL	9.5	.18 /.17	Q	Dec30 /Dec15
Saratoga Investment	SAR	9.2	.68 /.54	Q	Jan04 /Dec15
Reduced					
Investcorp Credit Mgmt	ICMB	13.9	.13 /.15	Q	Jan10 /Dec16
Initial					
Berry Global Group	BERY	1.9	.25		Dec15 /Dec01
Immersion	IMMR	1.7	.03		Jan30 /Jan15
Stocks					
IM Cannabis	IMCC		1:10		/Nov17
NovaBay Pharmaceuticals	NBY		1:35		/Nov16
Palisade Bio	PALI		1:50		/Nov16
SenesTech	SNES		1:20		/Nov16
Tian Ruixiang	TIRX		1:5		/Nov16
Foreign					
Flex LNG	FLNG	9.3	.75	Q	Dec06 /Dec01
Highway Holdings	HIHO	9.0	.05	Q	Jan05 /Dec15
Intl General Insurance	IGIC	0.5	.01	Q	Dec14 /Nov29
Maverix Metals	MMX	1.3	.0125	Q	Dec15 /Nov30
Vodafone Group ADR	VOD	7.6	.44825	SA	Feb03 /Nov25
Special					
Investcorp Credit Mgmt	ICMB	13.9	.02		Jan10 /Dec16
Middlefield Banc	MBCN	2.7	.10		Dec15 /Dec02
Oaktree Spec Lending	OCSL	9.5	.14		Dec30 /Dec15
Red Rock Resorts Cl A	RRR	2.3	1.00		Dec09 /Nov30

Sources: FactSet; Dow Jones Market Data

KEY: A: annual; M: monthly; Q: quarterly; r: revised; SA: semiannual; S2:1: stock split and ratio; SO: spin-off.

Borrowing Benchmarks

wsj.com/market-data/bonds/benchmarks

Money Rates

November 16, 2022

Key annual interest rates paid to borrow or lend money in U.S. and international markets. Rates below are a guide to general levels but don't always represent actual transactions.

Inflation

	Oct. index level	Chg From (%) Sept. '22	Chg From (%) Oct. '21
U.S. consumer price index			
All items	**298.012**	0.41	7.7
Core	**299.315**	0.29	6.3

International rates

	Latest	Week ago	52-Week High	52-Week Low
Prime rates				
U.S.	**7.00**	7.00	7.00	3.25
Canada	**5.95**	5.95	5.95	2.45
Japan	**1.475**	1.475	1.475	1.475
Policy Rates				
Euro zone	**2.00**	2.00	2.00	0.00
Switzerland	**1.00**	1.00	1.00	0.00
Britain	**3.00**	3.00	3.00	0.10
Australia	**2.85**	2.85	2.85	0.10
Overnight repurchase				
U.S.	**3.82**	3.78	3.83	0.01

U.S. government rates

	Latest	Week ago	52-Week High	52-Week Low
Discount	**4.00**	4.00	4.00	0.25

Notes on data:
U.S. prime rate is the base rate on corporate loans posted by at least 70% of the 10 largest U.S. banks, and is effective November 3, 2022. **Other prime rates** aren't directly comparable; lending practices vary widely by location; **Discount rate** is effective November 3, 2022. **Secured Overnight Financing Rate** is as of November 15, 2022. **DTCC GCF Repo Index** is Depository Trust & Clearing Corp.'s weighted average for overnight trades in applicable CUSIPs. Value traded is in billions of U.S. dollars. **Federal-funds rates** are Tullett Prebon rates as of 5:30 p.m. ET.
Sources: Federal Reserve; Bureau of Labor Statistics; DTCC; FactSet; Tullett Prebon Information, Ltd.

	Latest	Week ago	52-WEEK High	52-WEEK Low
Federal funds				
Effective rate	**3.8400**	3.8400	3.8400	0.0800
High	**4.0500**	4.0500	4.1000	0.0900
Low	**3.8200**	3.8000	3.8200	0.0300
Bid	**3.8300**	3.8300	3.8300	0.0600
Offer	**3.8500**	3.8500	3.8700	0.0800
Treasury bill auction				
4 weeks	**3.580**	3.620	3.620	0.020
13 weeks	**4.155**	4.120	4.155	0.045
26 weeks	**4.440**	4.490	4.490	0.065

Secondary market

	Latest	Week ago	52-WEEK High	52-WEEK Low
Fannie Mae 30-year mortgage yields				
30 days	**5.946**	6.586	6.812	2.480
60 days	**5.968**	6.656	6.988	2.503

Other short-term rates

	Latest	Week ago	52-Week high	52-Week low
Call money	**5.75**	5.75	5.75	2.00
Commercial paper (AA financial)				
90 days	**4.22**	4.59	4.59	0.12
Libor				
One month	**3.91071**	3.87857	3.91071	0.08875
Three month	**4.67429**	4.63000	4.67429	0.15750
Six month	**5.08200**	5.15629	5.15629	0.22350
One year	**5.46486**	5.63286	5.66643	0.38238
Secured Overnight Financing Rate	**3.80**	3.78	3.80	0.04

	Latest	Value Traded	52-Week High	52-Week Low
DTCC GCF Repo Index				
Treasury	**3.829**	29.098	3.829	0.023
MBS	**3.868**	23.000	3.873	0.032

Declaration of Publication

I, Carla Peak, as Vice President, Legal Notification Services at Gilardi & Co. LLC, a KCC Class Action Services Company in San Rafael, California, hereby certify that I caused the attached notice to be printed in said publication on November 17, 2022:

Name of Publication: The Wall Street Journal
Address: 1211 Avenue of the Americas
City, State, Zip: New York, NY 10036
Phone #: 1-800-568-7625
State of: New York

I declare under penalty of perjury that the foregoing is true and correct. Executed on this 17th day of November, 2022 at Sellersville, Pennsylvania.

Carla Peak

Carla Peak

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Scott+Scott Attorneys at Law LLP, The Rosen Law Firm, P.A., and Robbins Geller Rudman & Dowd LLP Announce Proposed Settlement in the Infinity Q Diversified Alpha Fund Securities Litigation

November 17, 2022 08:00 AM Eastern Standard Time

NEW YORK--(BUSINESS WIRE)--The following statement is being issued by Scott+Scott Attorneys at Law LLP, The Rosen Law Firm, P.A., and Robbins Geller Rudman & Dowd LLP regarding the Infinity Q Diversified Alpha Fund Securities Litigation:

SUPREME COURT OF THE STATE OF NEW YORK
COUNTY OF NEW YORK: COMMERCIAL DIVISION

In re INFINITY Q DIVERSIFIED ALPHA FUND SECURITIES LITIGATION	)	Index No. 651295/2021
	)	CLASS ACTION
	)	Part 53: Justice Andrew S. Borrok
This Document Relates To: The Consolidated Action	)	
DOMINUS MULTIMANAGER FUND, LTD., Individually and on Behalf of All Others Similarly Situated,	)	Index No. 652906/2022
	)	CLASS ACTION
	)	Part 53: Justice Andrew S. Borrok
Plaintiff,	)	
v.	)	
INFINITY Q CAPITAL MANAGEMENT LLC, et al.,	)	
Defendants.	)	

SUMMARY NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION

TO: ALL PERSONS OR ENTITIES THAT EITHER: (I) PURCHASED OR OTHERWISE ACQUIRED INVESTOR SHARES (TICKER SYMBOL IQDAX) AND/OR INSTITUTIONAL SHARES (TICKER SYMBOL IQDNX) IN INFINITY Q DIVERSIFIED ALPHA FUND BETWEEN FEBRUARY 22, 2016 AND FEBRUARY 22, 2021, BOTH DATES INCLUSIVE (THE "CLASS PERIOD"); AND/OR (II) INVESTED THROUGH EITHER THE INFINITY Q VOLATILITY ALPHA FUND, L.P., OR THE INFINITY Q VOLATILITY ALPHA OFFSHORE FUND, LTD. DURING THE CLASS PERIOD

THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.

YOU ARE HEREBY NOTIFIED that a hearing will be held on January 31, 2023, at 2:00 p.m., before the Honorable Andrew Y. Borrok, J.S.C., Supreme Court of New York, County of New York: Commercial Division, 60 Centre Street, Courtroom 238, New York, NY 10007, to determine whether: (1) the proposed settlement of the above-captioned consolidated actions (the "State Action"), along with *In re Infinity Q Diversified Alpha Fund and Infinity Q Volatility Alpha Fund Securities Litigation* (formerly known as *Yang v. Trust for Advised Portfolios, et al.)*, Case No. 1:21-cv-01047-FB-MMH (E.D.N.Y.), pending in the United States District Court for the Eastern District of New York (the "Federal Action") (the State Action and Federal Action together referred to as, the "Litigation"), as set forth in the Amended Stipulation of Settlement ("Stipulation") dated September 7, 2022, for up to $48,000,000 in cash should be approved by the Court as fair, reasonable, and adequate, including consideration of any objections or opt-outs submitted by Class Members; (2) the Judgment, as provided under the Stipulation, should be entered; (3) to award Plaintiffs' Counsel attorneys' fees and expenses out of the Settlement Fund (as defined in the Notice of Pendency and Proposed Settlement of Class Action ("Notice"), as discussed below), and, if so, in what amount; (4) to award Lead Plaintiffs for representing the Class out of the Settlement Fund and, if so, in what amount; (5) the Plan of Allocation should be approved by the Court as fair, reasonable, and adequate; and (6) to consider any opt-outs or objections received by the Court.

The Litigation collectively refers to the State Action and the Federal Action brought on behalf of persons who invested in the Infinity Q Diversified Alpha Fund, the Infinity Q Volatility Alpha Fund, L.P., or the Infinity Q Volatility Alpha Offshore Fund, Ltd. (the "Funds") during the Class Period, against parties associated with the Funds for allegedly misstating and omitting material facts from the Registration Statements and Prospectuses filed with the U.S. Securities and Exchange Commission and in statements made by certain Defendants during the Class Period. Lead Plaintiffs allege that these purportedly false and misleading statements resulted in overstated valuations of the assets held by the Funds, and that the Class Members suffered damages when the truth was revealed. Defendants deny all of Lead Plaintiffs' allegations.

IF YOU INVESTED IN THE INFINITY Q DIVERSIFIED ALPHA FUND, THE INFINITY Q VOLATILITY ALPHA FUND, L.P., OR THE INFINITY Q VOLATILITY ALPHA OFFSHORE FUND, LTD. BETWEEN FEBRUARY 22, 2016 AND FEBRUARY 22, 2021, YOUR RIGHTS MAY BE AFFECTED BY THE SETTLEMENT OF THE LITIGATION.

To share in the distribution of the Settlement Fund, you must establish your rights by submitting a Proof of Claim and Release form ("Proof of Claim") by mail **(postmarked no later than February 6, 2023)** or electronically **(no later than 11:59 p.m. on February 6, 2023 at** www.InfinityQSecuritiesSettlement.com**).** Your failure to submit your Proof of Claim by February 6, 2023, will subject your claim to rejection and preclude your receiving any of the recovery in connection with the Settlement of the Litigation. If you are a Member of the Class and do not request exclusion therefrom, you will be bound by the Settlement and any judgment and release entered in the Litigation, including, but not limited to, the Judgment, whether or not you submit a Proof of Claim.

If you have not received a copy of the Notice, which more completely describes the Settlement and your rights thereunder (including your right to object to the Settlement), and a Proof of Claim, you may obtain these documents, as well as a copy of the Stipulation and other settlement documents, online at www.InfinityQSecuritiesSettlement.com, or by writing to:

Infinity Q Securities Settlement
Claims Administrator
c/o Gilardi & Co. LLC
P.O. Box 8040
San Rafael, CA 94912-8040

Inquiries should NOT be directed to the Defendants, Court, or Clerk of the Court. Inquiries, other than requests for the Notice or a Proof of Claim, may be made to Lead Counsel:

SCOTT+SCOTT
ATTORNEYS AT LAW LLP
Thomas Laughlin
The Helmsley Building
230 Park Avenue, 17th Floor
New York, NY 10169
(212) 223-6444

THE ROSEN LAW FIRM, P.A.
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Lead Counsel in the State Action

ROBBINS GELLER
RUDMAN & DOWD LLP
Samuel Rudman
58 South Service Road, Suite 200
Melville, NY 11747
(631) 367-7100

Lead Counsel in the Federal Action

IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A REQUEST FOR EXCLUSION SUCH THAT IT IS **POSTMARKED BY JANUARY 10, 2023,** IN THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL MEMBERS OF THE CLASS WHO HAVE NOT REQUESTED EXCLUSION FROM THE CLASS WILL BE BOUND BY THE SETTLEMENT EVEN IF THEY DO NOT SUBMIT A TIMELY PROOF OF CLAIM.

IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE SETTLEMENT, PLAN OF ALLOCATION, REQUEST BY PLAINTIFFS' COUNSEL FOR AN AWARD OF ATTORNEYS' FEES AND EXPENSES, AND/OR AWARD TO LEAD PLAINTIFFS FOR REPRESENTING THE CLASS. ANY OBJECTION MUST BE FILED WITH THE COURT AND MAILED TO LEAD COUNSEL AND DEFENDANTS' COUNSEL SUCH THAT IT IS POSTMARKED, **BY JANUARY 10, 2023,** IN THE MANNER AND FORM EXPLAINED IN THE NOTICE.

DATED: October 17, 2022

BY ORDER OF THE SUPREME COURT OF
NEW YORK, COUNTY OF NEW YORK:
COMMERCIAL DIVISION
THE HONORABLE ANDREW S. BORROK, J.S.C.

Contacts

Media:
Robbins Geller Rudman & Dowd LLP
Shareholder Relations Department
Greg Wood
(619) 231-1058

Declaration of Publication

I, Carla Peak, as Vice President, Legal Notification Services at Gilardi & Co. LLC, a KCC Class Action Services Company in San Rafael, California, hereby certify that I caused the attached notice to be published as a press release by the following wire service:

Name of Publication: BusinessWire
Address: 101 California Street 20th Floor
City, ST Zip: San Francisco, CA 94111
Phone #: 415-986-4422
State of: California

The press release was distributed on November 17, 2022 to the following media circuits offered by the above-referenced wire service:

1. National Newsline

I declare under penalty of perjury that the foregoing is true and correct. Executed on this 17th day of November 2022, at Sellersville, Pennsylvania.

Carla Peak

Carla Peak